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Hyperbases Exist

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Abstract: A hyperbasis is a combinatory basis for the lambda calculus which can represent all lambda terms in any infinite set of trees. The usual bases are not hyper. We show that a finite hyperbasis exists.

If C is a set of combinators let $C+$ be the set of all applicative combinations of members of C . To each member of $C+$ we assign a binary tree as follows; for $A \in C$ the tree of A is the one point tree $\langle \rangle$, and the tree of (MN) is $\langle \text{tree of } M, \text{tree of } N \rangle$ (it is true that this definition is in general ambiguous but the ambiguity is harmless). C is said to be a hyperbasis if, for every infinite set of trees T , for each combinator N there exists an $M \in C+$ so that $M =_{\beta} N$ and the tree of $M \in T$.

Example 1. The set $\{S, K\}$ is not a hyperbasis. Let $T_1 = \{ \langle \rangle, \langle \langle \rangle \rangle, \langle \langle \langle \rangle \rangle \rangle, \langle \langle \langle \langle \rangle \rangle \rangle \rangle, \dots \}$, then any applicative combination of S , K and x whose tree belongs to T_1 has only head w - β reducts which can be written with at most 2 parentheses. Thus, for example, $\lambda x. x(xx)(xx)$ is not definable this way. Compare this with [1] 7.4.7.

Example 2. Bohm's one point basis $X = \lambda x. xSKS$ is not a hyperbasis. For let $T_2 = \{ \langle \rangle, \langle \langle \rangle \rangle, \langle \langle \langle \rangle \rangle \rangle, \langle \langle \langle \langle \rangle \rangle \rangle \rangle, \dots \}$ and let A be any one point basis. Then the sequence $A, AA, A(AA), A(A(AA)), \dots$ must either omit some combinator or repeat (modulo β conversion) for otherwise we can solve recursively the problem of conversion. Thus the sequence either omits some combinator or it is finite (modulo β conversion).

Lemma: Let C be a set of combinators containing I and let T_1 and T_2 be as in the previous examples. Suppose that for each $i=1,2$ and for each combinator N there exists $M \in C+$ such that $N =_{\beta} M$ and the tree of M belongs to T_i , then C is a hyperbasis.

Proof: Let T be an infinite set of trees and let T be the union

of all the trees in \mathbf{T} . Since \mathbf{T} is infinite, by König's lemma, \mathbf{T} has an infinite path P . We distinguish two cases

Case 1; P has infinitely many steps to the left.

Then there is an infinite sequence of trees $T(1), T(2), \dots, T(n), \dots$ so that $T(n) \in \mathbf{T}$ and $T(n)$ has a path which steps left $l(n) \geq n$. Now each left subtree off any path of $T(n)$ can be β reduced to nothing by substituting I for each of its leaves, and each right subtree off any path in $T(n)$ can be β reduced to the one point tree by substituting I for all of its leaves except the rightmost leaf. Thus we can assume that \mathbf{T} contains an infinite subset of \mathbf{T}_1 . By a similar substitution for leaves we can assume that \mathbf{T} actually contains \mathbf{T}_1 and thus by hypothesis for each combinator N there exists an $M \in \mathbf{C}^+$ such that $N =_{\beta} M$ and the tree of M belongs to \mathbf{T} .

Case 2 ; P has only finitely many steps left.

For any tree T define $T^{(n)}$ by $T^{(0)} = T$ and $T^{(n+1)} = \langle T^{(n)}, \langle \rangle \rangle$. By performing the substitutions of case 1 we can assume that there is an integer m and an infinite sequence $T(0), T(1), \dots, T(n), \dots$ of members of \mathbf{T}_2 such that each of the trees $T^{(n)(m)}$ belongs to \mathbf{T} . Again by substitutions similar to those of case 1 we can assume that for each $T \in \mathbf{T}_2$ the tree $T^{(m)}$ belongs to \mathbf{T} . Let N be given. By hypothesis there is an $M \in \mathbf{C}^+$ with tree $T \in \mathbf{T}_2$ so that $K(\dots(KN)\dots) =_{\beta} M$. Then $N =_{\beta} M I \dots I$ and the tree of $M I \dots I$ is $T^{(m)} \in \mathbf{T}$.

This completes the proof.

Theorem: The set $\{B, B', C, K, I, W, C^*B, C^*B', C^*C, C^*K, C^*I, C^*W\}$ is a hyperbasis.

Proof: Let the designated set of combinators be \mathbf{C} . The proof consists in first showing that for each N there exists an $M \in \{B, B', C, K, I, W\}^+$ so that $N =_{\beta} M$ and the tree of M belongs to \mathbf{T}_1 . Next we observe that $A_1 \dots A_n =_{\beta} C^* A_n (\dots (C^* A_2 A_1) \dots)$ and the theorem follows from the lemma. Let N be given. By Church's theorem there exists an applicative combination P of B, C, K, I, W such that $N =_{\beta} P$. Now we prove by induction on P that $P =_{\beta}$



$A_1 \dots A_n$ for $A_i \in \{B, B', C, K, I, W\}$. Indeed we have $A_1 \dots A_n (A'_1 \dots A'_m) =_{\beta}$
 $B(A_1 \dots A_n)(A'_1 \dots A'_{m-1})A'_m =_{\beta} BB'A_1A_2B'A_3 \dots B'A_{n-1}BA_n(A'_1 \dots A'_{m-1})A'_m$.

This gives the induction step and completes the proof.

[1] Barendregt, The Lambda Calculus
North Holland 1984
