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ON THE EXISTENCE OF n BUT
NOT $n+1$ EASY COMBINATORS

by

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(I) m -Easy Combinators

Given two combinators M and N we define a graph $G(M,N)$ as follows. The points of $G(M,N)$ are the combinators modulo beta conversion, and we make P adjacent to Q if there exists an R such that $P=RM$ and $Q=RN$, or, $P=RN$ and $Q=RM$. Now the proof theoretic properties of the equation $M=N$ are reflected by the properties of $G(M,N)$. For example, $M=N$ is inconsistent $\Leftrightarrow G(M,N)$ is connected $\Leftrightarrow K$ and K^* lie in the same $G(M,N)$ component. In particular if we wish to count steps in proofs it is convenient to count edges in $G(M,N)$.

Recall that M is easy if it is consistent with every combinator. We say that M is m -easy if there is no proof with $< m+1$ steps that M is inconsistent with any combinator i.e. if for each N the diameter of $G(M,N)$ is at least m . Obviously if M is easy then it is m -easy for each m . Here we shall show that for infinitely many m there are m but not $m+1$ easy terms.

Define terms $E(n), F(n), G(n)$ as follows:

$$E(0) := \lambda x. K$$

$$E(n+1) := \lambda x. xIE(n)x$$

$$F(n) := \lambda x. xxIE(n)(xx) \quad \lambda x. xxIE(n)(xx)$$

$$G(n) := \lambda x. F(n)(xx) \quad \lambda x. F(n)(xx).$$

We shall show that $G(n)$ is n -easy but not $2n+5$ easy.

(II) Lower Bounds on the Failure of Church-Rosser

Let M be given. A term X is said to be an F -term of type n, M, k if it has the form

$$F(n)IE(k_1)X_1 \dots \dots \dots IE(k_t)X_t$$

where $-1 < t, k-1 < k_i$, and each X_i is an F -term of type n, M, k . A term Y is said to be a G -term of type n, M, k if it has the form

(a) $Y_1(\dots(Y_t(\lambda x. Y_{t+1}(xx) \quad \lambda x. Y_{t+1}(xx)))\dots)$

where $-1 < t$, and each Y_i is an F -term of type n, M, k or

(b) $Y_1(\dots(Y_t N)\dots)$

where $0 < t$, each Y_i is an F -term of type n, M, k , and

$$BT(M) [BT(N)$$

We define relations $I \rightarrow(k)$, $II \rightarrow(k)$, and $\gg \rightarrow(k)$ as follows:

$$X I \rightarrow(k) Y \Leftrightarrow X \text{ is a } G\text{-term of type } n, M, k \text{ and } Y := M.$$

$$X II \rightarrow(k) Y \Leftrightarrow X := X[Z_1, \dots, Z_r], \text{ each } Z_i \text{ is a } G\text{-term of type } n, M, k, \text{ and } Y := X[M, \dots, M].$$

$$X \gg \rightarrow(k) Y \Leftrightarrow \text{there exists a } Z \text{ such that } X \rightarrow \gg Z II \rightarrow(k) Y.$$

For $0 < t$ we define the relation $\gg \gg \rightarrow(k, t)$ by

$$X \gg \gg \rightarrow(k, t) Y \Leftrightarrow \text{there exists } Z_1, \dots, Z_{t-1} \text{ such that } X \gg \rightarrow(k) Z_1 \gg \rightarrow(k) \dots \gg \rightarrow(k) Z_{t-1} \gg \rightarrow(k) Y.$$

We shall prove the following
PROPOSITION: The diagrams

$$Q \lll P \lll (k) R$$

and

$$Q (r) \lll P \lll (k) R$$

can be completed to

$$Q \lll (k) T \lll R$$

resp.

$$Q \ggg Q^* \lll (k-1) T (r-1) \lll R^* \lll R.$$

Therefore the diagram

$$T (r) \lll Q \lll P \lll (k)$$

can be completed to

$$R \ggg U$$

|||

$$Q \lll (k) U \ggg X \lll (r-1) Y (k-1) \lll Z \lll T.$$

when $0 < k$ and $0 < r$.

From this follows the diamond property

COROLLARY: $Q (r) \lll P \ggg (k) R$

can be completed to

$$Q \ggg (k-1) T (r-1) \lll R$$

when $0 < r$ and $0 < k$.

(II) If X is an F -term of type n, M, k and $X := F(n) | E(k_1) X_1 \dots | E(k_t) X_t$ then X has for its head the head positions of the X_i together with the subterm occurrence $F(n) |$ above.

MATCHING LEMMA: Suppose that Y is a G -term of type n, M, k . If Y is of the form (a) then all its G -subterms of type n, M, r have the form (a) and are among the $Y_i (\dots (Y_t (\lambda x. Y_{t+1}(xx) \lambda x. Y_{t+1}(xx))) \dots)$ except in case $M=1$ when they can have the form (b), the shape $F(n) |$, and occur at the head positions of the Y_i .

PROOF: First let X be an F -term of type n, M, k . We will show that X has no G -subterms of type n, M, r except when $M=1$ and these are at the head positions of X . This is proved by induction and toward this end we let Y be a G -term of type n, M, r of the form (a) or (b) above. Then

- (i) The last component of Y_1 is either $\lambda x. xx | E(n)(xx)$ or has no normal form; therefore it $\neq |$ or $E(r)$ for any r .
- (ii) If $Y := Y_1 L$ then L has no normal form so it $\neq |$ or $E(r)$ for any r .
- (iii) If Y is of the form (a) then $\lambda x. Y_{t+j}(xx)$ has no normal form so it $\neq |$ or $E(r)$ for any r when $j=1, 2$
- (iv) If Y is of the form (a) then $\lambda x. Y_{t+j}$ has order 1 so it is not an F -term or a G -term of type n, M, s when $j=1, 2$.

Now suppose that Y is a subterm of X . First suppose that Y has the form (a). If $t=0$ then by (iii) and (iv) Y is a subterm of X_i for some i . If $0 < t$ then by (i) and (ii) Y is a subterm of some X_i . Next suppose that Y has the form (b). If $t=1$ then by (i) Y is a subterm of

X_i for some i unless $Y := F(n)l$ and Y occupies the leftmost head position of X . But in this case we have $N := l = M$. If $1 < t$ then by (i) and (ii) Y is a subterm of some X_i . In conclusion our claim follows by induction.

To prove the lemma simply apply the above claim to the Y_i after using (iv), and the unsolvability of G-terms of type n, M, s . This completes the proof of the lemma.

REPLACEMENT LEMMA: Let X be a G-term of type n, M, k . Then the replacement of any proper G-subterm of type n, M, r by M results in a G-term of type n, M, k except in case $M=l$ when if $0 < k$ it results in a term which \rightarrow to G-term of type $n, M, k-1$.

PROOF: Suppose first that $M \neq l$. Let Y be a G-term of type n, M, k with a proper G-subterm Z of type n, M, r . By the Matching Lemma if Y has the form (a) then the replacement of Z by M is a G-term of type n, M, k and of the form (b). If Y is of the form (b) then the result of replacing Z by M remains of the form (b) since Z is unsolvable and its replacement in N yields a term whose Bohm tree still is the Bohm tree of M . Now if $M = l$ then Z can occur at the head positions of the Y_i if $Z := F(n)l$. These Y_i are F-terms of type n, M, k and of the form

$$F(n)lE(k_1)U_1 \dots E(k_t)U_t$$

and the replacement of Z yields

$$lE(k_1)U_1 \dots E(k_t)U_t \rightarrow$$

$$E(k_1)U_1 \dots E(k_t)U_t \rightarrow$$

$$U_1 l E(k_1-1)U_1 \dots E(k_t)U_t$$

since $0 < k$ and $k < k_1+1$, which is an F-term of type $n, M, k-1$. This proves the lemma.

We can now proceed with the proof of the proposition. We remark here now that in case $M=l, k-1$ and $r-1$ can be replaced in the corollary by k and r . In this case $\rightarrow(k)$ is Church-Rosser. However this already follows from the Replacement Lemma by the theorem of Mitchke.

PROOF OF PROPOSITION: First suppose that $X := X[Z_1, \dots, Z_r]$ where the Z_i are G-term occurrence of type n, M, k which are pairwise disjoint. We can follow each Z_i is a reduction $X \rightarrow Y$. It can be copied, projected (deleted), and beta reduced internally. Thus we can write $Y := Y[Z_{11}, \dots, Z_{1s(1)}, \dots, Z_{r1}, \dots, Z_{rs(r)}]$ where $Z_i \rightarrow Z_{ij}$ for $-1 < j < s(i)+1$ so that

$$X[x_1, \dots, x_r] \rightarrow Y[x_1, \dots, x_1, \dots, x_r, \dots, x_r]$$

thus we have

$$Y[M, \dots, M, \dots, M, \dots, M] \lll Y \lll X \lll X[M, \dots, M] \rightarrow Y[M, \dots, M, \dots, M, \dots, M]$$

Next suppose $X'[U_1, \dots, U_p] := X := X''[V_1, \dots, V_s]$ where the U_i are G-subterm occurrences of type n, M, k and pairwise disjoint, and the V_j are G-subterm occurrences of type n, M, r and also pairwise disjoint. Each U_i can contain one or more V_j say $U_i := U_i[V_{i1}, \dots, V_{it(i)}]$ and by the Replacement Lemma $U_i[M, \dots, M]$ is a G-term of type n, M, k unless $M=l$ in which case $U_i[l, \dots, l] \rightarrow$ to a G-term of type $n, M, k-1$. Similar remarks hold for the V_j . Let Z_1, \dots, Z_q be the maximal occurrences in the union of the two sets $\{U_1, \dots, U_p\}$ and $\{V_1, \dots, V_s\}$. Then we have $X := X'''[Z_1, \dots, Z_q]$ and

$$X'''[M, \dots, M] \lll X' \lll X''[M, \dots, M] \lll X \lll X'''[M, \dots, M] \rightarrow (k-1) X'''[M, \dots, M].$$

This completes the proof of the proposition.

COROLLARY (strip lemma): The diagram $Z (k) \leftarrow X \rightarrow (k,t) Y$ can be completed to $Z \rightarrow (k-1,t) U (k-t) \leftarrow Y$ provided $0 < t < k+1$.

(III)

REDUCTION LEMMA: Suppose that P and Q are connected in $G(G(n), I)$ by a path of length $k < n+1$ then there exists an R such that

$$P \rightarrow (n-k, k+1) R (n-k, k+1) \leftarrow Q$$

where $M := I$.

PROOF: By induction on k . When $k=0$ the lemma follows from the Church-Rosser theorem. Suppose now that we have a path $P := P(0), P(1), \dots, P(k) := Q$ where $k < n+1$. We have by our induction hypothesis that there exists an R such that $P \rightarrow (n-(k-1), k) R (n-(k-1), k) \leftarrow P(k-1)$. We distinguish two cases.

Case 1 ; $P(k-1) = TI$ and $Q = TG(n)$. We are assuming that $k > 0$ so $TG(n) \rightarrow (n-(k-1)) TI$. By the Proposition there exists an R^* such that $P \rightarrow (n-(k-1), k) R^* (n-(k-1), k) \leftarrow TI$. Again by the Proposition there exists an R^{**} such that

$$P \rightarrow (n-(k-1), k+1) R^{**} (n-(k-1), k+1) \leftarrow Q.$$

This completes the proof for this case.

Case 2 ; $P(k-1) = TG(n)$ and $Q = TI$. By the Proposition there exists an R^* such that

$$P \rightarrow (n-(k-1), k) R^* (n-(k-1), k) \leftarrow TG(n) .$$

By the strip lemma corollary to the Proposition there exists an R^{**} for the following diagram

$$TG(n) \rightarrow (n) TI \rightarrow (n-k, k) R^{**} (n-k) \leftarrow R^* (n-(k-1), k) \leftarrow P.$$

Finally by the Proposition there exists an R^{***} such that

$$Q \rightarrow (n-k, k+1) R^{***} (n-k, k+1) \leftarrow P$$

and this completes the proof of the lemma.

COROLLARY: Suppose that $k < n+1$. Then there is no path in $G(G(n), I)$ connecting the combinators K and K^* of length $< k+1$.

PROOF : K and K^* are $\rightarrow (n-k)$ normal.

We can now prove the following

THEOREM : $G(n)$ is n -easy but not $2n+5$ easy.

PROOF : Suppose that K and K^* are connected in $G(G(n), M)$ by a path. If $M \neq I$ then by the Replacement Lemma and the theorem of Mitchke $\rightarrow (n)$ is Church-Rosser ;so this is impossible. Thus $M=I$. But by the Corollary to the Reduction Lemma such a path must be longer than n . Thus $G(n)$ is n -easy. Clearly there is such a path of length $2n+5$ so $G(n)$ is not $2n+5$ easy.

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