#### NOTICE WARNING CONCERNING COPYRIGHT RESTRICTIONS:

The copyright law of the United States (title 17, U.S. Code) governs the making of photocopies or other reproductions of copyrighted material. Any copying of this document without permission of its author may be prohibited by law.

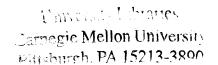
## NECESSARY AND SUFFICIENT CONDITIONS FOR A SUM-FREE SET OF POSITIVE INTEGERS TO BE ULTIMATELY PERIODIC

by

Neil J. Calkin
Department of Mathematics
Carnegie Mellon University
Pittsburgh, PA 15213

Research Report No. 89-49

May 1989



# Necessary and sufficient conditions for a sum-free set of positive integers to be ultimately periodic

Neil J. Calkin \* University of Waterloo, Waterloo, Ontario †

#### Abstract

Cameron has introduced a natural bijection between the set of one way infinite binary sequences and the set of sum-free sets (of positive integers), and observed that a sum-free set is ultimately periodic only if the corresponding binary sequence is ultimately periodic. He asked if the converse also holds. In this paper we present necessary and sufficient conditions for a sum-free set to be ultimately periodic, and show how these conditions can be used to test specific sets; these tests produce the first evidence of a positive nature that certain sets are, in fact, not ultimately periodic.

#### 1 Introduction

There is a natural bijection between the set of binary sequences and the set of sum-free sets of positive integers. In [2] Cameron observed that a sum-free set is ultimately periodic only if the corresponding binary sequence is ultimately periodic, and asked whether the converse is also true. This question is still open; however there do exist relatively simple sets for which it would appear that the answer is no; that is, the sets correspond to ultimately periodic binary sequences, but the sets themselves are apparently aperiodic. A major dificulty is that while it is a relatively simple matter to determine that a set is ultimately periodic (requiring only a finite number of terms) no method is presently known that will show that a sum-free set is not ultimately periodic, from a consideration of only finitely many elements of the set.

In this paper we introduce two new functions  $g_S(n)$  and  $\bar{g}_S(n)$  defined on the positive integers, and we show that the behaviour of these functions determines whether a set is ultimately periodic or not. More precisely, we prove that, if its corresponding binary sequence is ultimately periodic, then a sum-free set S is ultimately periodic if and only if  $g_S(n)$  is bounded, and that if it is not bounded then  $\bar{g}_S(n)$  grows at least as fast as  $\log n$ .

#### 2 Definitions

A set S of positive integers is said to be sum-free if there do not exist  $x, y, z \in S$  such that x + y = z. Observe that we do not require x, y to be distinct. We shall denote the set of sum-free sets of positive integers by S.

A sum-free set is said to be ultimately complete if for all sufficiently large n, either  $n \in S$  or there exist  $x, y \in S$  such that x + y = n. A sum-free set is **periodic** if there exists a positive integer m such that for all  $n \ge 1$ ,  $n \in S$  if and only if  $n + m \in S$ . A sum-free set is said to be ultimately periodic if there exist positive integers  $m, n_0$  such that for all  $n > n_0$ ,  $n \in S$  if and only if  $n + m \in S$ .

If S is ultimately periodic, then there is a unique minimum period; indeed, if  $m_1, m_2$  are both periods for the elements of S greater than  $n_0$  then the greatest common divisor of  $m_1, m_2$  is also a period for S.

<sup>\*</sup>Research partially supported by NSERC operating grant #A8907, by a NSERC Postgraduate Scholarship, and by an Ontario Graduate Scholarship

<sup>†</sup>Current address: Department of Mathematics, Carnegie Mellon University, Pittsburgh, PA

If S is a periodic subset of  $I\!\!N$  then let  $\bar{S}$  denote the set

$$\bar{S} = \{s_1, s_2, \ldots, s_k\} \pmod{m}$$

where m is the least modulus for which  $s \in S$  if and only if  $s \equiv s_i \pmod{m}$  for some  $s_i \in \bar{S}$ . If S is ultimately periodic, let  $\bar{S}$  be defined similarly, in that for some  $n_0$  for all  $s \geq n_0, s \in S$  if and only if  $s \equiv s_i \pmod{m}$  for some  $s_i \in \bar{S}$ .

For example,

$$S = \{1, 3, 5, 7, 9, \ldots\} \text{ is periodic, } \bar{S} \equiv \{1\} \pmod{2}$$

$$S = \{1, 5, 7, 9, \ldots\} = \{1, 3, 5, 7, 9, \ldots\} \setminus \{3\} \text{ is ultimately periodic, } \bar{S} \equiv \{1\} \pmod{2}$$

$$S = \{1\} \cup \{5, 8, 11, 14, 17, 20, \ldots\} \text{ is ultimately periodic, } \bar{S} \equiv 2 \pmod{3}$$

### 3 The Fundamental Bijection.

Define the bijection  $\theta$  between the set  $2^{I\!\!N}$  of binary sequences and the set  $\mathcal S$  of sum-free sets as follows.

Let  $\sigma$  be an element of  $2^{\mathbb{N}}$ , say  $\sigma_1 \sigma_2 \sigma_3 \dots$  where  $\sigma_i \in \{0, 1\}$  for every i. We now construct sets  $S_i, T_i, U_i$ ; start with  $S_0 = T_0 = U_0 = \emptyset$ .

For  $i = 1, 2, 3, \ldots$  perform the following operations. Let  $n_i$  be the least element of  $\mathbb{N} \setminus (S_{i-1} \cup T_{i-1} \cup U_{i-1})$ . Then

$$\text{if } \sigma_{i} = 1, \text{ put } \begin{cases} S_{i} &= S_{i-1} \cup \{n_{i}\} \\ T_{i} &= S_{i} + S_{i} \\ U_{i} &= U_{i-1} \end{cases}$$
 
$$\text{if } \sigma_{i} = 0, \text{ put } \begin{cases} S_{i} &= S_{i-1} \\ T_{i} &= T_{i-i} \\ U_{i} &= U_{i-1} \cup \{n_{i}\}. \end{cases}$$

Let  $S = \bigcup_i S_i$ ; then, since each  $S_i$  is sum-free, and since  $S_i \subset S_{i+1}$ , S is also sum-free. Let  $\theta$  be the mapping from  $2^N$  to S defined by these operations, so that, for example

```
\begin{array}{llll} \theta: & 111111111 \dots & \mapsto & \{1,3,5,7,9,11,13,15,\dots\} \\ \theta: & 01010101 \dots & \mapsto & \{2,5,8,11,\dots\} \\ \theta: & 10101010 \dots & \mapsto & \{1,4,7,10,\dots\} \\ \theta: & 1010010101 \dots & \mapsto & \{1,4,8,11,14,\dots\}. \end{array}
```

It is natural now to ask whether  $\theta$  is invertible; in essence, since each entry in a binary sequence  $\sigma$  corresponds to exactly one element of  $S \cup (IN \setminus (S+S))$ , this is easily seen to be the case; indeed, let S be a sum-free set, and construct an infinite binary sequence as follows: define a ternary sequence  $\tau$  by setting

$$\tau_n = \begin{cases} 1 & \text{if } n \in S \\ * & \text{if } n \in S + S \\ 0 & \text{otherwise} \end{cases}$$

Convert this sequence to a binary sequence by deleting all \*'s. It is an easy exercise to check that this is the inverse of the mapping from  $2^{I\!\!N}$  to  $\mathcal S$  defined above. We have thus defined a bijection  $\theta$  from  $2^{I\!\!N}$  to  $\mathcal S$ .

We shall now make some observations about this bijection:

- 1. It is very natural: if asked to construct a sum-free set, we would be quite likely to do it in an element by element fashion, making a choice whether or not to include each element of N. An element would only be considered for inclusion if it didn't cause a violation of the condition that S be sum-free. If we now consider the elements of N in the obvious order (1, then 2, then 3, then 4,...) we obtain exactly the bijection  $\theta$  between lists of choices made (binary sequences) and sum-free sets.
- 2. There is a natural metric on the set of sequences  $2^{\mathbb{I}N}$ : two sequences are at distance  $2^{-k}$  if they differ for the first time at the (k+1)-st place; there is also a natural metric on the set of sum-free sets: two sets are at a distance  $2^{-k}$  if k+1 is the least element in  $S_1 \triangle S_2 = (S_1 \cup S_2) \setminus (S_1 \cap S_2)$ .

The bijection  $\theta$  is clearly bicontinuous with respect to the induced topologies, so we have a homeomorphism between  $2^{\mathbb{I}N}$  and  $\mathcal{S}$ . An open ball of radius  $2^{-k}$  about  $\sigma$  in  $2^{\mathbb{I}N}$  consists of all sequences whose initial segment of length k agrees with  $\sigma$ . An open ball of radius  $2^{-k}$  in  $\mathcal{S}$  about  $S_0$  consists of all sum-free sets S such that  $S \cap \{1, 2, 3, \ldots, k\} = S_0 \cap \{1, 2, 3, \ldots, k\}$ .

3. If S is ultimately periodic then  $\theta^{-1}(S)$  is also ultimately periodic: further, the period of  $\theta^{-1}(S)$  divides (period of S – no. of elements in a period which are ultimately sums of smaller elements of S).

To see this, suppose that for all  $n \in S$ ,  $n \ge n_0$  we have

$$n = s_i + mk$$
 for  $s_i \in \{s_1, s_2, \dots, s_r\} = \bar{S}_{0}, 0 < s_1 < s_2 < \dots < s_r < m$ 

and that every n of this form,  $n \ge n_0$  is in S. Then S is ultimately periodic, and there exists an  $n_1$  dependent upon  $m, n_0$ , such that for every  $n \in \mathbb{N}$ ,  $n \ge n_1(n_0, m)$  we have

- (a)  $n \in S$  if and only if  $n + m \in S$
- (b)  $n \notin S, \exists x, y \in S, x + y = n$  if and only if  $n + m \notin S, \exists x', y' \in S, x' + y' = n + m$
- (c)  $n \notin S$ ,  $\not\exists x, y \in S, x + y = n$  if and only if  $n + m \notin S$   $\not\exists x', y' \in S, x' + y' = n + m$

Thus the set of numbers n satisfying (a), those satisfying (b), and those satisfying (c), are all ultimately periodic. Therefore the ternary sequence  $\tau_1 \tau_2 \tau_3 \dots = \tau$  where

$$\tau_{i} = \begin{cases} 0 & \text{if } i \notin (S+S) \cup S \\ 1 & \text{if } i \in S \\ * & \text{if } i \in S+S \end{cases}$$

is ultimately periodic. Then by deleting all occurrences of \* from  $\tau$  we obtain an ultimately periodic binary sequence; this however, as noted above, is nothing but  $\theta^{-1}(S)$ . Thus, if S is ultimately periodic, then  $\theta^{-1}(S)$  is also ultimately periodic.

4. S is ultimately complete if and only if the sequence  $\theta^{-1}(S)$  contains only finitely many zeroes. Indeed, in the construction given for a sum-free set from a binary sequence, an element is not included if and only if it is either a sum of smaller elements already in the set, or the corresponding term in the binary sequence is zero. Thus if S is ultimately complete then we can only have finitely many elements excluded because of zeroes in  $\theta^{-1}(S)$ .

This immediately implies that the set of ultimately complete sum-free sets is countable. By way of a contrast, we have

Proposition 3.1 The set of maximal sum-free sets (i.e. those sum-free sets for which for every  $n \notin S$  there exist  $x, y \in S$  such that either x + y = n or x + n = y) is uncountable.

**Proof** Consider the set

$$\{9,11,14,16,19,21,24,26,29,\ldots\} = \{n|n=5k\pm 1,k=2,3,\ldots\}.$$

This set is clearly sum-free. Further, if we add to this set the element 2, we find that the only solutions to the equation x + y = z are of the form 2 + 5k - 1 = 5k + 1. Consider now an arbitrary partition of  $\{2, 3, 4, 5, \ldots\}$  into two parts, say  $N_1, N_2$ . Then the set  $S_{N_1, N_2}$  given by

$$\{2\} \cup \{5k-1|k \in N_1\} \cup \{5k+1|k \in N_2\}$$

is sum-free, since by definition  $N_1 \cap N_2 = \emptyset$ . Then none of the integers  $5k - 1, k \in N_2$  or  $5k + 1, k \in N_1$  can be added to the set  $S_{N_1,N_2}$ , as they are respectively differences or sums of pairs of elements in  $S_{N_1,N_2}$ . Now extend  $S_{N_1,N_2}$  to a maximal sum-free set, say  $T_{N_1,N_2}$ : it is immediate from the preceding comments that the sets  $T_{N_1,N_2}$ ,  $T_{M_1,M_2}$  are distinct if  $N_1 \neq M_1$ : as there are uncountably many partitions of  $\{2,3,4,\ldots\}$  we have proven the proposition.

Corollary 3.1 There exist uncountably many aperiodic maximal sum-free sets of positive lower density.

Indeed, the lower asymptotic density of  $T_{N_1,N_2}$  is at least  $\frac{1}{5}$ . This answers a question of Stewart (personal communication), regarding the existence of aperiodic maximal sets of positive density.

### 4 Periodicity of Sum-free Sets

We shall now consider one of the most intriguing questions regarding sum-free sets, namely the relationship between the periodicity of a binary string  $\sigma$ , and the periodicity of the associated sum-free set  $\theta(\sigma)$ : Cameron [2] asked whether it is true that that  $\theta^{-1}(S)$  is ultimately periodic if and only if S is ultimately periodic.

In Lemma 4.1 we prove that if a sum-free set S is ultimately periodic, then so is  $\theta^{-1}(S)$ . In Lemma 4.2 we show, essentially, that if a set S appears to be ultimately periodic for long enough, and if it has an ultimately periodic input sequence  $\theta^{-1}(S)$  then S is ultimately periodic.

We introduce new functions,  $g(n) = g_S(n)$  and  $\bar{g}_S(n)$ : in Theorem 4.1 we show that if  $\theta^{-1}(S)$  is ultimately periodic, then S is ultimately periodic if and only if g(n) is bounded. In Theorem 4.2 we show that if  $\theta^{-1}(S)$  is ultimately periodic, and g(n) is not bounded, then for  $n > n_0$ ,  $\bar{g}_S(n) > c \log n$ .

#### 4.1 When is a sum-free set periodic?

Cameron(personal communication) has asked whether any of the following statements are true:

- (i) A binary string  $\sigma$  is ultimately periodic if and only if  $\theta(\sigma)$  is ultimately periodic.
- (ii)  $\sigma$  has only finitely many zeroes if and only if  $\theta(\sigma)$  is ultimately periodic and ultimately complete. Clearly (i)  $\Longrightarrow$  (ii), but not necessarily vice versa.

Each of these questions is still open; however, since they were first suggested, we have found evidence to suggest that (i) is false, and Cameron [2] has found evidence that (ii) may also be false.

Before presenting this evidence, we shall prove the following lemmata: Lemma 4.1 shows that in each of the questions, the "if" part holds, and Lemma 4.2 shows that in order to prove that a sum-free set is ultimately periodic, we need only consider a finite prefix of the set.

Lemma 4.1 (Cameron[2]) If  $\theta(\sigma)$  is ultimately periodic then  $\sigma$  is also ultimately periodic.

**Proof.** Suppose that  $\theta(\sigma) = S$ , and that the periodic part of S is  $\bar{S} \pmod{m}$ . Then

$$S = T \cup \{s_1 + km, s_2 + km, \dots, s_i + km | k > k_0\}$$

where

$$\bar{S} = \{s_1, s_2, \dots, s_i\} \pmod{m}, 0 < s_i < m$$

and

$$T = S \cap \{1, 2, \ldots, k_0 m\}$$

For every  $n \ge 1$  construct  $\tau(n)$  as follows:

$$\tau(n) = \begin{cases} 1 & \text{if} & n \in S \\ 0 & \text{if} & n \notin S \text{ and } \exists x, y \in S, x + y = n \\ * & \text{if} & n \notin S \text{ and } \exists x, y \in S, x + y = n. \end{cases}$$

Then the sequence  $\tau = \tau(1)\tau(2)\tau(3)\ldots$  is an infinite ternary sequence; further, if we erase the \*'s in  $\tau$  then we obtain exactly  $\sigma = \theta^{-1}(S)$ . (We note that the sequence obtained from  $\tau$  by replacing each \* with a 0 is exactly the characteristic function of the set S.) Thus, if we prove that  $\tau$  is ultimately periodic, then it will follow immediately that  $\sigma$  is ultimately periodic.

Consider an element  $n > 3k_0m$ . Then

$$\tau(n) = \begin{cases} 1 & \text{if} \quad n \equiv s_1, s_2, \dots, \text{ or } s_i \pmod{m} \\ * & \text{if} \quad \exists t \in T, s_j \in \{s_1, \dots, s_i\} \text{ such that } n \equiv t + s_j \pmod{m} \\ & \text{ or if } \exists s_{j_1}, s_{j_2} \in \{s_1, \dots, s_i\} \text{ such that } n \equiv s_{j_1} + s_{j_2} \pmod{m} \\ 0 & \text{ otherwise.} \end{cases}$$

Observe that the value of  $\tau(n)$  depends solely upon the congruence class of  $n \mod m$ , since  $T, \{s_1, \ldots, s_i\}$  are both finite sets. Thus  $\tau(n)$  is periodic (mod m) for  $n > 3k_0m$ , and we deduce that  $\sigma$  is also ultimately periodic.

This lemma proves exactly the "if" direction.

Where will we run into difficulties when we try to reverse this proof? The crucial step involves the erasing of the \*'s in r: given a periodic sequence a it is easy to insert \*'s in such a way that the resulting ternary sequence is most definitely aperiodic (for example, insert a \* after every p^th 1, where pk is the Jich prime). Of course, it is unlikely that such insertions would leave a sum-free set: statement (i) states essentially that only by inserting in a periodic manner is it possible to ensure that S is sum-free.

In order to prove the "only if" direction, it would be necessary to show that certain sets are ultimately periodic; in certain circumstances this is possible. The following Lemma shows that if a set 5 is ultimately periodic, then we need only consider a finite prefix of 5, along with the binary sequence  $O''^{l}(S)$  in order to prove that S is ultimately periodic.

Lemma 4.2 Suppose that  $a = 0 \sim 1$ (S) is ultimately periodic, and

$$Sn\{l,2,...,An\} = TUSiUS_2US_3$$

where

$$T = Sn\{1,2,...,n\}$$

$$S = SC \setminus \{n+1,n+2,...,2n\}$$

$$5_2 = Sfl\{2n+1,2n+2,...,3n\}$$

$$5_3 = S \cap \{3n+1,3n+2,...,4n\}.$$

**Suppose further that** 

$$5_2 = \{s : c_1 \in S_1\}$$

$$5_3 = \{s + 2n \mid sES_n\}$$

andthat

$$r(\min_{s \in S_1} s), r(\min_{s \in S_2} s), r(\min_{s \in S_3} s)$$

each correspond to the same point in a period in  $\sigma^{-1}(S)$ . Then S is ultimately periodic, and the period of S divides n.

Proof. We shall show by induction that An + k G S if and only if  $k \equiv s \pmod{n}$  for some  $s \not \in S3$ . First,  $An - f \mid G S$  if and only if 3n + 1 G S; indeed, 3n + 1 G S if and only if

flteT, 
$$seS_2$$
 such that  $t + s = 3n$  -f 1

and JBsi G £1,  $s_2 E S \setminus \text{such that } s \setminus + s_2 = 3n + 1$  and the corresponding bit of  $O^{nl}(S)$  is a 1.

Similarly, An + 1 G 5 if and only if

fiteT, se S3 such that 
$$t + s = An + 1$$
  
and /Ssi G £1,  $s_2$  £  $S_2$  such that si -f  $S_2 = 4n + 1$   
and the corresponding bit of  $O^{nl}(S)$  is a 1.

It is clear that these three conditions are equivalent, since Si is constant (mod n).

Exactly the same argument may now be used to prove that if An + i G S if and only if  $i = s \pmod{n}$  for some s G S3 for each i < k, then An + k E S if and only if  $k = s \pmod{n}$  for some s E S3.

In order to test Cameron's conjectures, we generated the sum-free sets corresponding to periodic binary inputs, with period at most 7. For all inputs with periods of length at most 4, the corresponding sum-free set was ultimately periodic, with a small (usually fewer than 10 terms) non-periodic part, and a small period (always less than 25). Of the 30 inputs with periods of length 5 (all strings of length five except for 00000 and 11111, which have period 1), all but 3 inputs were quickly periodic; the ones which were not are 01001, 01010,

10010. Similarly, for inputs with periods of length 6, 7 or 8, the only inputs which did not become quickly periodic, with a small period, were 010001, 011001, 011100, 100010, 101001, 101011, 0010011, 0100010, 0100101, 0100101, 0101011, 0101101, 0101111, 0101111, 0110101, 0110011, 1000010, 1000100, 1000110, 1000111, 1001010 and 1010100.

The behaviour of these potential counterexamples to Cameron's conjecture is in striking contrast to that of the ultimately periodic sets, where in every case, it is clear within the first few terms that the resulting set will be ultimately periodic. The first of these possible counterexamples to be found was the set corresponding to the input sequence  $01001^{1}$ .

The set  $\theta(01001) = \{2,6,9,14,19,26,29,36,39,47,54,64,69,79,84,91,...\}$  certainly appears to be aperiodic; for example, considering the sequence of differences between consecutive elements of the set, this exhibits long strings which are repeated, separated by short "glitches" which seem to show no sign of settling down to be periodic. Furthermore, extensive calculations by Cameron and by the author have failed to find a period for any of these sets. Cameron's calculations, in particular, have included computing 400,000 terms of one set, without establishing a period! This, of course, is all evidence of a rather flimsy type: it is essentially of the form "we looked, but we couldn't find anything"; we shall now present a theorem which gives evidence which is more concrete in nature that certain sum-free sets are aperiodic. It may also be used to show that a sum-free set is ultimately periodic without actually having to find the period. Using the functions  $g_S(n)$ ,  $\bar{g}_S(n)$ , we will provide positive evidence that  $\sigma(01010)$  is aperiodic.

Define functions  $g_S(n)$ ,  $\bar{g}_S(n)$  as follows:

$$g_S(n) = \begin{cases} 0 & \text{if } \ \not\exists x, y \in S \text{ such that } x + y = n \\ \min x & \text{such that } x + y = n, \ x, y \in S \text{ if there exist } x, y \in S \text{ such that } x + y = n \end{cases}$$

and

$$\bar{g}_S(n) = \max_{k \le n} g_S(k)$$

**Theorem 4.1** S is ultimately periodic if and only if  $\sigma$  is ultimately periodic and  $\bar{g}_S(n)$  is ultimately constant, i. e.  $g_S(n)$  is bounded.

**Proof.** Suppose that S is ultimately periodic. Let

$$S = T \cup S_1 \cup S_2 \cup S_3 \cup \dots$$

where  $T = S \cap \{1, 2, ..., n\}$ ,  $S_1 = S \cap \{n + 1, n + 2, ..., 2n\}$ , and  $S_{i+1} = S_i + n = \{s + n | s \in S_i\}$  for every *i*. If  $g_S(n) \ge 1$ , then  $\exists x, y \in S$  such that x + y = n. Thus, either

$$x \in T, y \in S_i$$
 for some i.

or 
$$x \in S_i, y \in S_j$$
 for some  $i, j$ .

If the former holds, then  $g_S(n) \leq \max_{t \in T} t$ .

If the latter holds, then some  $x \in S_1$ ,  $y \in S_{j-i+1}$  also satisfy x + y = n. Thus, if  $g_S(n) \ge 1$  then  $g_S(n) \le \max_{s \in S_1} s$ , and we have shown that if S is ultimately periodic then  $g_S(n)$  is bounded.

To prove the converse, suppose that  $g_S(n) \leq k \ \forall n$ . Let

$$T = S \cap \{1, 2, \dots, k\}.$$

Then, for every n, n is expressible as a sum x + y = n,  $x, y \in S$  if and only if n is expressible as a sum t + y' = n,  $t \in T$ ,  $y' \in S$ . Let the input sequence  $\theta^{-1}(S)$  have ultimate period p, and suppose  $n_0$  is sufficiently large that  $n_0$  corresponds to the periodic part of  $\theta^{-1}(S)$ .

Define

$$S_n = S \cap \{n, n+1, \dots, n+k-1\}$$

Then, for  $n > n_0$ ,  $S_{n+1}$  is determined by the triple  $(T, S_n, i_n)$  where, (mod p) we have reached the  $i_n$ th stage of a period.

<sup>&</sup>lt;sup>1</sup>We use here the familiar periodic decimal notation to indicate the periodic part of a binary sequence by placing a dot over the initial and final terms of a period

Now let

$$T_n = \{s - n + 1 | s \in S_n\}$$

There are at most  $2^k$  possibilities for the set  $T_n$  for each n, and there are p possibilities for the integer  $i_n$ ; thus, since there are infinitely many values of n, there must exist n, j such that

$$(T_n, i_n) = (T_{n+i}, i_{n+i})$$

Then, since  $T_{n+1}$  is determined by  $(T, T_n, i_n)$ , it is clear that then  $(T_{n+1}, i_{n+1}) = (T_{n+j+1}, i_{n+j+1})$ , and similarly that for all  $m \geq n$ ,  $(T_m, i_m) = (T_{m+j}, i_{m+j})$  Thus, from n onwards, S is periodic, with period dividing j.

Thus, if we have a set for which  $g_S(n)$  is not bounded then we know that this set cannot be periodic. As a simple, but useful, extension of this theorem, we have

Theorem 4.2 If, for sufficiently large n,  $\bar{g}_S(n) < \log_2\left(\frac{n}{6p}\right)$  where p is the length of a period in the input string  $\theta^{-1}(S)$ , then S is periodic.

(Here "sufficiently large" means

- (i) n > 2s where s is the smallest element of S (to ensure that  $\bar{g}_S(n) > 0$ ) and
- (ii) n is large enough that we are in the periodic part of the string  $\theta^{-1}(S)$ .)

**Proof.** Observe that since there are at most  $2^k p$  choices for the pair  $(T_n, i_n)$  we will be able to find n, n+j such that  $n \geq n_0, n+j \leq 2^k p$ 

Thus, as in the proof of Theorem 4.1 we see that

$$S \cap \{1, 2, \dots, \} = T \cup S_1 \cup S_2 \cup S_3$$

where  $S_2 = S_1 + j = \{s + j | s \in S_1\}$ , and  $S_3 = S_1 + 2j$ . and where the least element of  $S_1$  is at most n/3. Then this is sufficient to ensure that S is ultimately periodic; indeed, it is enough to ensure that S is periodic from  $S_1$  onwards.

Computing the values of  $\bar{g}_S(n)$  for the set  $\theta(01001)$ , for all  $n \leq 200000$ , we find that  $\bar{g}$  appears to be very far from bounded: in fact it seems to increase in a roughly linear fashion; the following are the values of  $\bar{g}_S(n)$  for which  $\bar{g}_S(n) > \bar{g}_S(n-1)$  (since the function is weakly increasing, these values determine the function).

$\boldsymbol{n}$	$ar{g}_S(n)$	$\boldsymbol{n}$	$ar{g}_S(n)$	n	$ar{g}_S(n)$	n	$ar{g}_S(n)$
4	2	885	430	6411	3034	47437	23304
12	6	1288	445	6674	3297	49313	24133
18	9	1457	577	6709	3332	50678	25180
33	14	1820	597	6754	3377	50996	25498
52	26	1850	627	10360	4014	65250	28709
72	36	2028	805	11144	4798	68410	30974
94	47	2058	835	12692	6346	75499	37613
133	<b>54</b>	2103	880	14779	7104	82800	38422
182	91	2356	1133	16129	7675	88756	44378
192	96	2371	1148	19678	9839	111332	54455
227	106	2401	1178	22914	11457	112419	55542
242	121	2446	1223	24624	12312	121318	57969
274	137	3650	1522	27324	13394	126698	63349
322	161	4394	1795	30140	14127	137806	65796
348	174	4632	2068	40677	15179	142928	71464
362	181	4945	2381	43908	16281	171101	81091
637	237	5128	2564	43948	21974	188656	82178
647	247	6053	2676	46355	22222	199466	99733
690	345						

Observe that for the following values of n,  $\bar{g}_S(n) = \frac{n}{2}$ , i. e.  $g_S(n)$  is as large as is possible: n = 12, 18, 52, 72, 94, 182, 192, 242, 274, 322, 348, 362, 690, 2446, 5128, 6754, 12692, 19678, 22914, 24624, 43948, 50996,

88756, 126698, 142928, 199466; we have no evidence that this behaviour must continue, but on the other hand, it is striking that it has continued this far!

Computing the functions  $g_S(n)$ ,  $\bar{g}_S(n)$  for the sets  $\theta(\dot{0}101\dot{0})$ ,  $\theta(\dot{1}001\dot{0})$ ,  $\theta(\dot{0}1000\dot{1})$ ,  $\theta(\dot{0}1100\dot{1})$ , we find similar behaviour, as may be seen in [1].

If it could be shown for such a set S that such behaviour continues, that is that there exist an infinite number of n such that  $g_S(n) = n/2$  then it would follow immediately from Theorem 4.1 that S is aperiodic; it does not, however, appear that it is a simple matter to prove this.

#### References

- [1] N.J. Calkin. Sum-free Sets and Measure Spaces. PhD thesis, University of Waterloo, 1988.
- [2] P.J. Cameron. Portrait of a typical sum-free set. In C. Whitehead, editor, Surveys in Combinatorics 1987, volume 123 of London Mathematical Society Lecture Notes, pages 13-42. Cambridge University Press, 1987.
- [3] P.J. Cameron. On the structure of a random sum-free set. Probability Theory and Related Fields, to appear, 1988.

Carnegie Mellon University Libraries

3 8482 01356 4949