MINIMAL-PROGRAM COMPLEXITY

OF PSEUDO-RECURSIVE AND

PSEUDO-RANDOM SEQUENCES

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#### §0. Introduction

Throughout the history of the theory of recursive functions diverse hierarchies have been proposed in order to study and classify both constructive and non-constructive objects. Recently, attempts to classify recursive functions according to their complexity of computation have exposed many important aspects of the relationship between these functions and the devices used to compute them. The objects under investigation in this work will be finite and infinite binary sequences. The infinite binary sequences, which one may regard as the characteristic functions of sets, provide a means of studying the limiting behavior of finite sequences as their length increases. Several minimal-program complexity measures have been proposed (see Kolmogorov [6,7] Chaitin [1,2] Loveland [8,9]) which in a certain sense measure the information content of finite, and as a limit, infinite binary sequences. Recursive sequences are known to have extremely low minimalprogram complexity and random sequences (e.g. in the sequential test sense of Martin-Löf) high complexity. In this paper the minimal-program complexity of several formulations of pseudorecursive sequence (a pseudo-recursive sequence is one which in some sense approximates a recursive sequence) and of pseudorandom sequence. Ideally, one would hope that the pseudorecursive sequences would have relatively low minimal-program complexity and the pseudo-random sequences relatively high complexity. However, such is not the case for these formulations suggesting that these are not adequate notions of pseudorecursive or pseudo-random sequence at least with regard
to this complexity measure. This will be discussed further
in a subsequent paper entitled "Minimal-Program Complexity of
Sequences with Restricted Resources", which will deal with
the minimal-program complexity of sequences when the resources
used for their computation are restricted.

In section 1 we present the basic definitions for the minimal program complexity, previous results and some simple lemmas which will simplify the computations in later proofs.

In section 2 we study several definitions of pseudorecursive sequences and determine upperbounds for them in the
minimal-program complexity hierarchy. We formulate two new
definitions of pseudo-recursive sequences, called near recursive and strongly near recursive, and give tight upperbounds
for them. Also considered are the almost recursive sequences
defined by Vuckovic [16], the recursively approximable sequences
defined by Rose and Ullian [13], and the retraceable sequences
defined by Dekker and Myhill [4].

In section 3 we present an example of a pseudo-random sequence with extremely low complexity and show that it is possible to make a distinction among some types of pseudo-random sequences within the minimal-program complexity hierarchy.

### §1. Minimal Program Complexity Hierarchy

The minimal program complexity was originally proposed both by Kolmogorov [6,7] and Chaitin [1,2]. If x is an infinite binary sequence then we denote by x(n) the nth member of x and by  $x^n$  the initial segment of x of length n, i.e.  $x^n = x(1)...x(n)$ . If p is a string (finite sequence) then we denote by |p| the length of p (i.e. number of symbols of p). We give now Kolmogorov<sup>f</sup>s original definition.

 $K^{*}(x^{n}) = |J^{*}.3p(|p| = I \text{ and } G(p) = x^{n}), \text{ where } G$ is an algorithm (computing device) and p
is a binary string (encoding of some program). = 00, if no such p exists.

One may regard C as a digital computer and p a computer program such that when p is run on G the result is  $x^{11}$ , i.e. p contains the necessary information and procedure for the computation of  $x^n$  on G. Thus intuitively,  $KU_{\mathbf{u}}(x^{11})$  measures the information needed to compute  $x^n$ . Kolomogorov also introduced the notion of <u>conditional complexity</u>, which measures the information (other than n) needed to compute  $x^n$ .

 $(x^n) = |i^*.3p(|p| = I \text{ and } G(p,n) = x^n),$ where G is an algorithm and p
is a binary string. = 00, if no such p exists.

For our investigation we will use a formulation of minimal-program complexity proposed by Loveland (see [8,9]) called the <u>uniform minimal-program complexity</u> and which is intended to insure that the only information provided by n to the program which computes  $\mathbf{x}^n$  is that  $\mathbf{n}$  is the length of  $\mathbf{x}^n$ .

^(x^n) = |it.!Kp(|p| = I) and Vi£n.G(p,i) =  $x^1$ ),

where G is an algorithm, p is a binary string and  $x^1$  is the first i bits of  $x^n$ .

= 00, if no such p exists.

One can show by the same method that Kolmogorov used for his formulation of minimal program complexity that there is a "universal algorithm G such that for any other algorithm G there is a constant c such that  $VxVn.IC_{\bf U}(x^n;n) < Kg(x^n;n) + c$ . Therefore the minimal-program complexity of a sequence relative to two universal algorithms cannot differ by more than a constant. We fix a universal algorithm G for the remainder of this investigation and in so doing will delete the subscript, Briefly,  $K(x^n;n)$  is the length of a shortest program which computes  $x^i$ , given i, for each i f n.

For each  $x^{11}$  by considering the program which has  $x^n$  stored in its finite control and which prints out  $x^{\hat{1}}$ , given i, one easily shows that every sequence x has a well defined minimal-program complexity for each of its initial segments.

We can associate in a natural way with each infinite binary sequence x a set of positive natural numbers X by the condition  $n \in X4=^x(n)=1$ . We say that a sequence x satisfies a property P of sets if and only if the set X associated with x satisfies P. For example, a sequence x is recursive (recursively enumerable, etc.) if and only if the set X is recursive (recursively enumerable, etc.).

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By "3n." and "Vn." we mean "there exist infinitely many  $n \in \mathbb{N}$  such that" and "for all but finitely many  $n \in \mathbb{N}$  of  $X \in \mathbb{N}$  and  $X \in \mathbb{N}$  but  $X \in$ 

$$C[f] = \{x | Vn.K(x^{n}, n) \notin f(n)\}$$

Since we will consider classes named by functions we 2 will make use of A notation. For example, [An.n ] is the name of the function f such that f(n) =  $n^2$ . We will denote the greatest integer ^ n by [n].

We now present some well known properties of the minimalprogram complexity hierarchy.

Theorem 1.1;  $3c_0$  Vx.xeC[An.n+ $c_0$ ].

Theorem 1.2; x is recursive if and only if  $3c.X \notin C[An.c]$ .

Moreover, Loveland has constructed a separating function  $\mathbf{E}$ ,

Theorem 1.3: x is recursive if and only if xeC[E].

Theorem 1.4: If x is recursively enumerable then there is a constant c such that xeC[An.Iog2(n)+c].

Theorem 1.5: If  $3c.x^C[An.n-c]$  then x is random (in the sequential test sense of Martin-Lof [11]).

In particular these sequences satisfy the strong law of large numbers (lim ( $\stackrel{1}{\longrightarrow}$ S (x)) =  $\stackrel{1}{\longrightarrow}$ , where S (x) = number  $n\to\infty$   $^n$   $^n$  of  $1^f$ s in  $x^n$ ) and the law of the iterated logarithm  $\frac{\operatorname{Sn}(x)-2}{(\operatorname{lim}-\operatorname{Sup}(\sqrt{n\log\log n}))} = \stackrel{1}{\longleftarrow}$ ). Loveland and Martin-Lof have shown that random sequences necessarily have extremely high complexity,

Theorem 1.6: If x is random in the sequential test sense of Martin-Löf then for every non-decreasing unbounded total recursive function f,  $x^C[An.n-f(n)]$ .

Loveland and Kolmogorov have proposed as a definition of randomness that a sequence x is random if and only if 3c.xfC[An.n-c]. Schnorr [14] has shown that there cannot exist a function f which separates the random sequences from the non-random sequences,

Theorem 1.7: If f is any unbounded non-decreasing function then there is a sequence x such that  $x^C[An.n-f(n)]$  and which does not satisfy the strong law of large numbers.

The foregoing results are very pleasing inasmuch as effectively computable sequences are characterized by the fact that they require a minimal amount of information for their computation and random sequences a maximal amount.

Many of the proofs of subsequent theorems will involve showing that the initial segment of some sequence x is computable from certain "pieces<sup>11</sup> of information. In order to calculate  $K(x^n;n)$  these several pieces of information must be encoded into a single binary string. The following lemmas are concerned with calculating the length of this

binary string in terms of the lengths of the original information strings. We make this precise in the following manner. Let N denote the set of positive natural numbers, X denote the set of all binary strings and let I: NXN-^X and s: N-•N. We say that the infinite binary string x is uniformly computable from I in s pieces if and only if there is an algorithm ft such that for every n,  $Vi < inJ(I(n_jl)^i(n,2)^i,..^i(n,s(n)),i) = x^i$ , where \* is the concatenation operation and the symbol \$ (intended as a separating symbol) belongs to the alphabet of the algorithm ft. We will also say in this case that  $x^n$  is uniformly computable from I(n,1), .•.,  $I(n^s(n))$ .

- Lemma 1.8; If x is uniformly computable from I in one piece (i.e. s(n) = 1 for each n) then there is a constant c such that  $Vn.K(x^n,n) < \underline{i} | l(n,l) | + c.$ Proof; For some algorithm ft,  $Vn.K_{(B}(x^n;n) < \underline{f} | l(n,1) |$  and so the lemma follows by the universality of C, 3cVxVn.Kj.  $(x^n;n) < K_B(x^n;n) + c.$
- Lemma 1.9; If x is uniformly computable from I in s pieces then there is a constant c such that  $\begin{array}{c} \mathbf{s(n)-1} \\ \text{Vn.K}(\mathbf{x}^{\mathbf{n}};\mathbf{n}) \text{ £ 2- £ } (|l(\mathbf{n},\mathbf{i})|+l) + |l(\mathbf{n},\mathbf{s(n)})| + c. \\ & \mathbf{i=l} \end{array}$

Proof: Let (B be an algorithm such that for every Vifn.B(I(n,1)\*0\*...\*...\*I(n,s(n)),i) =  $x^1$ .

Define 1 = 11^ 0 = 00 and for an arbitrary binary string  $TT = ^{\bullet \bullet \bullet \bullet \circ h}$  where  $\alpha^2 = 0$  or  $\alpha^2 = 1$ ,

 $\begin{array}{lll} & \overset{rs^*}{\Pi} = \overset{r^{**}}{G_1^*} \dots * o & \text{Define the information function} \\ & \mathbf{I_1(n,1)} = \mathbf{I(n,1)} * o1 * \mathbf{I(n,2)} * o1 * \dots * \mathbf{I(n,s(n)-1)} * 10 * \mathbf{I(n,s(n))}. \\ & \text{Clearly^ there exists an algorithm } & \mathbf{R_1} & \text{such that} \\ & \text{for every } & \mathbf{n}, & \text{Vi} < \underline{\mathbf{f}} & \mathbf{n}, \mathbf{R}, \mathbf{I} & \mathbf{I} & \mathbf{I} & \mathbf{n} & \mathbf{n} \\ & \mathbf{I_1(n,1)} & = \mathbf{2} \cdot \sum_{i=1}^{\mathbf{s(n)-1}} (|\mathbf{I(n,i)}| + \mathbf{1}) & + |\mathbf{I(n,s(n))}|. \\ & \mathbf{I_1(n,l)} & = \mathbf{2} \cdot \sum_{i=1}^{\mathbf{s(n)-1}} (|\mathbf{I(n,i)}| + \mathbf{1}) & + |\mathbf{I(n,s(n))}|. \\ & \mathbf{I_1(n,l)} & = \mathbf{1} \cdot \sum_{i=1}^{\mathbf{s(n)-1}} (|\mathbf{I(n,i)}| + \mathbf{1}) & + |\mathbf{I(n,s(n))}|. \\ & \mathbf{I_1(n,l)} & \mathbf{I(n,l)} & \mathbf{$ 

Lemma 1,10:  $3cVxVn.K(x^n;n) ^K(x^n|n) + 2^1og(n) + c.$ Proof: Let I be such that  $I(n_3l) = n$  and  $|\mathbf{I}(\mathbf{n},\mathbf{2})| = K(x^n|n)$  and  $G(I(n,2),n) = x^{11}.$ Clearly  $x^n$  is uniformly computable from I(n,l) and  $I(n^2).$ 

## §2. Pseudo-Recursive Sequences

Theorem 1,3 and Theorem 1.5 in essence describe the sequences at the extreme low and high ends of the minimal-program complexity hierarchy. However, only Theorem 1.4 gives any indication of the types of sequences in the middle region of the hierarchy. In this section an attempt is made to formulate a definition of pseudo-recursive sequence and to characterize such sequences in terms of the hierarchy. In the process we will encounter sequences whose complexity falls into the intermediate regions of the hierarchy.

If x and y are sequences then the sequence  $x \equiv y$  is defined by the condition,  $(x\equiv y)$   $(n) = 1^x(n) = y(n)$ ; x by "x(n) = 1 - x(n). If x is a binary sequence then we define S (X) = f(x), the number of 1's occurring in x.

i=1

The limiting relative frequency of a sequence x is defined by  $\$(x) = \lim_{n \to \infty} S_n(x)$ . If x and y are binary strings then we write x - < y for Vif[x](x(i) = y(i)), i.e. y is an extension of x. Also if y denotes a string then by "|j,y." we mean "the least string y with respect to the lexicographical ordering of binary strings such that |x| = |x| + |x

One criterion for a sequence to be pseudo-recursive is that it must eventually resemble some recursive sequence. We make the following definition which was originally suggested by Loveland.

<u>Definition 2.1</u>: We say that a sequence x is <u>near</u>  $\underline{\text{recursive (n.r.)}} \text{ if and only if there exists a}$   $\underline{\text{recursive sequence r such that } \$(x=r) = 1.$ 

Near recursive sequences have the nice closure property that if x is near recursive and y is such that \$(x=y) = 1 then y is near recursive.

<u>Proposition 2.1:</u> If x is a sequence for which < 1>(x) = 0then for every G > 0, xeC[An.e-n].

<u>Proof</u>: For any sequence x,  $x^n$  can be computed by specifying its position (with respect to the lexicographical ordering) among all sequences of length n with exactly  $s_n(x)$   $1^!s$ . It then follows by Lemma 1.9 that

Vn.K(x;n)  $f \log(sf(x)) + 2-\log(s_n(x)) + 2-\log(n) + c$ , for some constant c.

Suppose \$(x) = 0 and let e > 0. Choose m such that  $(m+2)*2""^m <_{\mathfrak{C}}$ . Since \$(x) = 0, on  $-\underline{m}$  oo n  $-\mathbf{m}$  VnoSn(x) £ 2 -n and also Vn.log(S<sub>n</sub>(x)) £ (m+1) • 2 • n<sub>o</sub> oo n Thus, Vn.K(x<sup>n</sup>;n) £ (m+2)-2 - n • e»n.

Theorem 2.2: If x is near recursive then for every e > o xeCtAn.e-n].

<u>Proof</u>: Since x is n.r. there is a recursive r such that  $\langle f(x=r) \rangle = 1$  and consequently  $3 \rangle (x^{r}) = 0$ .

Clearly, x is uniformly computable from  $\overline{r}$  and x = r oo y = n n n n n n so we have  $Vn.K(x;n) ^K((x=7);n) + 2-K("r;n) + c^1$ . By Proposition 2.1 and Theorem 1.2 it follows that for every e > 0  $Vn.K(x^n;n) f e > n$ , i.e. for every e > 0 xeC[An.e\*n].

Theorem 2.2 provides an upperbound for the class of near recursive sequences in the minimal-program complexity hierarchy. Since in our definition of near recursive sequence we did not specify how fast a near recursive sequence must approach some recursive sequence we are able to obtain the following result showing that the upperbound of Theorem 2.2 is a tight upperbound. We first define the set of functions f = f | f | f is unbounded, non-decreasing, total

E = ff|f is unbounded, non-decreasing, total recursive function}

which represents the set of effective names for the complexity classes.

Theorem 2.3; If fef and  $\lim_{n\to\infty} \frac{f(x)}{n} = 0$  then there

exists a near recursive sequence x such that  $x^C[f]$ . Proof: Let y be a sequence such that  $y^C[An.n-c]$  for some constant c. By Theorem 1.5 y is random and so y(y) = -j. We will construct the desired sequence x from y by adding sufficiently many if to y so that y(x) = 1, but at a rate slow enough to insure that the difference between the complexity of x and the complexity of y will be small.

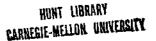
Let fe&. Define g by  $g(n) = [2^*fTm)^*$  where  $m = |ip.n ^ 2-f(p)|$ . Clearly gel and  $g(2-f(m)) f J \frac{m}{f(m)}$ . We define the sequence x as follows: We replace the nth 1 occurring in the sequence y by  $g(n) l^Ts$  and each 0 by one 0. Since g is unbounded, f(x) = 1 and so x is near recursive f(x = r) = 1, where r is the recursive sequence of all f(x) = 1. Where r is uniformly computable from f(x) = 1 so that f(x) = 1 the recursive sequence of all f(x) = 1 so that f(x) = 1 the recursive sequence of f(x) = 1 so that f(x) = 1 so f(x)

We remark that the class of f's satisfying the hypothesis of Theorem  $2_03$  contain all the effective bounds which grow strictly slower than every constant multiple of n. Thus there exist near recursive sequences whose complexity approaches the upperbound of Theorem 2.2 as closely as can be effectively measured. The following corollary to Theorem 2.3 makes this point clearer.

Corollary 2.4; There is a near recursive sequence x such that for every p < 1,  $x^C[An.n^p]$ .

<u>Proof</u>: Let  $f(n) = [n^{\frac{n}{n+1}}]$  and apply Theorem 2.3.

Because we have placed no restrictions on how fast a near recursive sequence approaches a recursive sequence we



have obtained near recursive sequences of rather high complexity. We therefore formulate a more restrictive definition of pseudo-recursive sequence. If x is a sequence then we define  $1_x(n)$  = position of the nth 1 occurring in x and  $0_x(n)$  = position of the nth 0 occurring in x. Thus  $1_x$  enumerates the members of X in increasing order and 9 enumerates the members of X in increasing order. A sequence x is dense if and only if for every fe&, oo  $\forall n.9_x(n) \land f(n)$ . (See Martin [10]).

- <u>Definition 2.2</u>; A sequence x is <u>strongly near recursive</u>

  <u>(s.n.r.)</u> if and only if there is a recursive

  sequence r such that x s r is a dense sequence.
- <u>Proposition 2.5</u>; Every strongly near recursive sequence is near recursive.

<u>Proof</u>; Let x be s.n.r., then there is a recursive r cD such that Vn.9--\_-(n) <^ f(n), for every fef.

Let  $f(n) = 2^n_9$  then  $S_n(x=r) 2^n \sim log(n) - c$  for some constant c. Thus < f(x=r) = 1 so x is n.r.

Strongly near recursive sequences have the closure property that if x is s.n.r. and y is such that x = y is dense then y is s.n.r.

Briefly, a sequence is strongly near recursive if and only if it approaches some recursive sequence faster than can be measured by any recursive function. Because of this it is possible to obtain a lower upperbound for the complexity of strongly near recursive sequences than was obtainable for near recursive sequences.

<u>Proposition 2.6:</u> If x is a dense sequence then for every  $f \in \mathcal{L}$ ,  $x \in \mathbb{C}[\lambda n. f(n) \cdot \log(n)]$ .

<u>Proof:</u> We remark first that if x is dense then for every  $f \in \Sigma$ ,  $\#j's(j \le n \text{ and } x(j) = 0) \le f(n)$  for all but finitely many n. (This can be proved by considering the "inverse" g of f defined by  $g(n) = \mu j.f(j) > n.$ )

Let x be dense and let  $f \in \mathcal{L}$ , then by the above remark,  $\forall n \cdot (\#j \cdot s(j \le n \text{ and } x(j) = 0) \le \frac{f(n)}{2})$ . Thus we can compute (uniformly)  $x^n$  by specifying each  $j \le n$  for which x(j) = 0. It then follows by Lemma 1.9 that  $\forall n \cdot K(x^n; n) \le f(n) \cdot \log(n)$ .

Theorem 2.7: If x is strongly near recursive then for every  $f \in \mathcal{L}$ ,  $x \in \mathbb{C}[\lambda n. f(n) \cdot \log(n)]$ .

The proof is similar to the proof of Theorem 2.2 and so will be omitted.

If we knew that for each dense sequence x that not only  $\forall f \in \mathcal{S}. \forall n (\Theta_{X}(n) \geq f(n))$  but also that there is a constant M such that for every  $f \in \mathcal{S}$ ,

Vm(#j's(f(m) £ 9 (j) £ f(m+1)) £ M) (in other words the O's of x cannot cluster together in arbitrarily large groups), then it seems reasonable that we could show that for some constant c, xeC[An.c\*log(n)]. (e.g. if  $f(n) = 2^n$  then the information needed to compute  $x^n$  in this case produces the series,, log(n) + log log(n) + log log log(n) + ...). Howeverj as the proof of the following proposition shows, the  $0^T$ s of a dense sequence may indeed cluster together in arbitrarily large groups.

Proposition 2.8; There exists a dense sequence x such that for every constant c > 0,  $x^c[An.c-log(n)]$ .

Proof; Let y be a dense sequence. We will construct a dense sequence x by regrouping the  $0^T$ s of y. The particular regrouping we use will enable us to show that for each constant c > 0 and for infinitely many n, c > 0 and for infinitely length n computable by a program of length c > 0 olog(n).

If y is a dense sequence then it can be shown that there exists a sequence {p.} such that  $\text{Pj} > \text{Pj\_l} + \text{J}^{\text{and}} {}^{9}y^{(\text{Pj})} \sim {}^{\text{Pj-1}}) > {}^{2\#0}y^{(\text{Pj})} \stackrel{\text{D+}}{}^{1} + 1 \cdot x \text{ is constructed by induction as follows; For } ^{n} \leq L^{e}_{\mathbf{V}}(\text{Pn}_{\mathbf{I}}) \stackrel{\text{we}}{}^{\text{define}} x(n) = y(n) .$ 

Suppose we have constructed  $x^n$  for  $n < \frac{1}{2} y(p_J^{-1})$ . There are at most  $2 \cdot 2^n l^{\log(0)} y^{(p_j^{-1})} = 2 - 9 y(p_J^{-1})$  programs of length  $\leq j > \log(9y(p_J^{-1})) < 0$  On the other

hand there are n (9 (p<sub>1</sub>) - 9 (p<sub>2</sub>-1)-k) strings  $\mathbf{x} = \mathbf{p} \setminus \mathbf{Y} \cdot \mathbf{J} \quad \mathbf{Y} \cdot \mathbf{J}$  ov(P1-1)

of length 9 (p.) which extend  $x^{I}$  and which have exactly j + 1  $0^{T}s$  occurring between y  $J^{-}$  y J 3 and 9 (p., a Now of which occur between y J 3 and 9 (p., a Now of which occur between y J 4 (P.) and 9 (P.) and 9 (P.)  $(p_{-}-1)$   $(p_{-}-1)$   $(p_{-}-1)$   $(p_{-}-1)$   $(p_{-}-1)$   $(p_{-}-1)$ 

and by our definition of  $\{p_j\}$ ,

 $(9_y(Pj) \sim \text{@ytPj-D-J})^5"*"^1 1 2.9_y(Pj)^j$  so that

there is at least one string of length 9 (p.) which 9 (p.-1)

extends x  $^{^{\circ}}$  and which has exactly  $j + 1 \quad 0^{T}s$  occurring between  $9^{\mathbf{Y}}(\mathbf{p}.^{\mathbf{J}}-1)$  and  $9^{\mathbf{Y}}(\mathbf{p}.^{\mathbf{J}})$  and which is not computable, by any program of length  $^{^{\circ}}$   $j*log(9 (\mathbf{p}.))$ .

We define  $x \cdot * J$  to be the least such sequence (with respect to the lexicographical ordering).

It fellows from our construction that for every k J> j^  $x^{\mathbb{Q}_{v}(p_k)}$  is different from every program of length f j«log(9 (p.)). Hence, for each constant c > 0,  $x^{CfAn.c-log(n)}$ ].

It can be shown by a straightforward induction that Vn.9 (n)  $\leq$  9 (n) so that x is dense,

Theorem 2.9: There exists a strongly near recursive sequence x such that for every constant c,  $x \mid C[An.c \cdot log(n)]$ .

<u>Proof</u>; This follows immediately from Proposition 2.8 since every dense sequence is strongly near recursive.

((x=r) = x for the recursive sequence r of all l's).

Theorem 2.9 shows that the upperbound for strongly near recursive sequences of Theorem 2.7 is a tight one, that in fact there are such sequences whose complexity approaches that upperbound as closely as can be effectively measured. We will now consider another restriction to the definition of near recursive sequences. The notion of a recursively approximable function was formulated by Rose and Ullian [13]. If x is a sequence and g: N->N then we define the sequence xog by (xog)(n) = x(g(n)).

Definition 2.3; A sequence x is recursively approximable
 if and only if for every 1-1 total recursive function g
 there exists a recursive sequence r such that
 \$(xog = rog) = 1.

If we take g to be the function g(n) = n we have immediately<sup>\*</sup>

<u>Proposition 2.10</u>; Every recursively approximable sequence is near recursive.

The next theorem shows that recursively approximable sequences extend at least as high into the complexity hierarchy as do the strongly near recursive sequences. A set X is

cohesive if and only if 1) X is infinite and 2) for every recursively enumerable set Y either X 0 Y is finite or X fl Y is finite. A set X is <u>quasi-cohesive</u> if and only if X is the union of a finite (non-zero) number of cohesive sets. In [13] Rose and Ullian showed in essence that every quasi-cohesive sequence is recursively approximable.

<u>Proposition 2,11</u>; For every constant c there is a quasi-cohesive sequence x such that  $x^C[An.c < log(n)]$ .

<u>Proof</u>: This proof is similar in many respects to that of Proposition 2.8. The proof relies strongly on the following fact about cohesive sets.

<u>Fact</u>; (Dekker and Myhill (See Rogers [12])). Every infinite set possesses a cohesive subset.

Let c > 0 and let y be a dense sequence. We define the sequence  $\{p_{\boldsymbol{\eta}}\}$  as follows;

$$^{p}l^{-1}$$
  
 $^{p}j+l^{-1}W?(P>Pj^{+c+1})^{and}(\mathbb{G}_{y}(p)-\mathbb{G}_{y}(p-1))>2-G_{y}(p)^{o}$ 

We define a sequence z as follows;

For  $n \leq ^{\wedge} 9_{\mathbf{y}}(p_{\mathbf{i}})$  we define z(n) = y(n). Assuming that we have defined  $z^{9}Y^{(p}j^{)}$  we define  $_{z}{}^{G}y^{(p_{-}+1)}$  to be the least string of length  $9_{\mathbf{y}}(p_{-}-j)$  (with respect to the lexicographical ordering) which extends  $\overset{\bigcirc}{\mathbb{Q}}(p_{-})$  and which has exactly c + 1  $0^{!}s$  occurring between  $\overset{\bigcirc}{\mathbb{Q}}(p_{-}-j_{+1}-1)$  and  $\overset{\bigcirc}{\mathbb{Q}}(p_{-}-j_{+1}-1)$  and which is not computable by any program of length  $c-\log(9)$   $\overset{\frown}{\mathbb{Q}}(p_{-}-j_{+1}-1)$ .

We are guaranteed the existence of such a string by the fact that there are less than  $2*G_{\mathbf{y}}(P_{\mathbf{j}}-i)$  programs of length  $\mathcal{L}$   $\mathbf{c}$   $\mathbf{c$ 

We define the function t(i,j) for each 1 for each 1 for each 1 for each  $|in(\mathbb{Q}_y(p_j,-1) \text{ for } n \text{ } \mathbb{Q}_y(P_j)$  and z(n) = 0).

 $t(i+1,j) = |\operatorname{in}(t(i,j) < \operatorname{n} \mathcal{E}_y^{9}(Pj)|^{\operatorname{and} z^n}) = {}^{\circ})$  Define  $T^* = \{t(1,j) \mid j \in \mathbb{N}\}$ .  $T^*$  is infinite so by the above stated Fact there is a cohesive subset of  $T_v \setminus = \operatorname{ft}(1,j) \mid J \in \mathbb{N}_x \subset \mathbb{N}\}$ .

Define  $T_2 = \{t(2,j) | j \in N^*\}$ . Similarly there is a cohesive subset of  $T_2^*$   $\widehat{T}_2 = \{t(2,j) | j \in N2 \ \underline{cz}N_1\}$ , We thus obtain c+1 cohesive sets  $T, \widehat{r}_1 \ldots, \widehat{T}_{c+1}$ . Define  $T_1 = \{t(i,j) | JGN_{c+1}\}$ .  $T_1$  is cohesive since  $N_{c+1} \subseteq N_1$  for  $i \leq c+1$  and every infinite subset of a cohesive set is cohesive.

Define X = U T. . X, being the union of  $i \le c+1$  <sup>1</sup> finitely many cohesive sets, is quasi-cohesive. Let x be the characteristic sequence of X. If  $j \in N$  c+1, then  $\overline{x}(n) = z(n)$  for  $0 \pmod{y} (p^{-1})$  ^  $n \not = 0 \pmod{y} (p^{-1})$  so that for infinitely many n,  $K(\overline{x}^n;n) > c-\log(n)$  and so  $\overline{x}^c[An.c*log(n)]$ . Therefore we have shown

that for every constant c > 0 there is a quasicohesive sequence x such that " $x^*C[An.clog(n)]$ . But surely this also shows that for every constant c > 0 there is a quasi-cohesive sequence x such that  $x^*C[An.c*log(n)]$ .

Theorem 2.12; For every constant c > 0 there is a recursively approximable sequence x such that  $x^C[An.c-log(n)]$ .

<u>Proof;</u> This follows immediately from Proposition 2.15 since, as we remarked before, every quasi-cohesive sequence is recursively approximable.

There is a slight difference between Theorem 2.12 and Theorem 2.9 in that we are able to find a strongly near recursive sequence x such that x^C[An.c\*log(n)] for any c whereas the recursively approximable sequence y for which y^C[An.olog(n)] depends on the choice of c.

Theorem 2.2 provides an upperbound for the class of recursively approximable sequences in light of Propsitions 2.10. However, a tight upperbound is still unknown and it remains unclear how the additional condition in Definition 2.3 can be used to find a tight upperbound.

We now consider another definition of pseudo-recursive sequence based on the notion of almost recursive set introduced by Vuckovic [16].

<u>Definition 2,4</u>; A sequence x is <u>almost recursive</u> if and only if there is a partial recursive function cp such that if x(n) = 1, then  $cp(n) = \#m^Ts$  (m < n and x(m) = 1).

The following theorem gives an upperbound for the complexity of almost recursive sequences.

Theorem 2.13; If x is almost recursive then for every e > 0,  $xeC[An.(\frac{1}{2}+e)*n]$ .

<u>Proof</u>; Let x be almost recursive and let cp be a partial recursive function such that if x(n) = 1 then  $cp(n) = \#m^f s(m < n \text{ and } x(m) = 1)$ .

Define  $u_n = \#m^f s (m \le n \text{ and } qp(m) \text{ is defined})$   $v = \#m^T s (m < n \text{ and } x(m) = 1)$   $n \xrightarrow{*} 1_1 = \#m^f s (m <^n n \text{ and } qp(m) = i) \text{ for } 0 \text{ for } 0 \text{ for } -1$ 

Clearly £ 1.  $\leq$ ^ n. i=0 x

Given  $\mathfrak{C}^*$   $\mathfrak{q}$   $\mathfrak{q}$  and  $\mathfrak{v}_n$  we can compute  $1_1$  for  $0 \not = i \land v_n - 1$ . Among the  $1_1$  values  $\mathfrak{m}$  for which  $\mathfrak{cp}(\mathfrak{m}) = i$  there is precisely one value  $e_1$  such that  $\mathfrak{x}(e_1) = 1$ . To specify  $e_1$  therefore  $^*$  we need  $log(1_1)$  bits of information. Since for  $\mathfrak{m} < ^{\wedge} n$ ,  $\mathfrak{x}(\mathfrak{m}) = 1 < ^{\wedge} c = ^{\wedge} \mathfrak{m} = e_1$  for some  $i < f \lor_n - 1 \land x^n$  is computable from the  $e_1$   $^{?} s$  for  $i < ^{\wedge} v_n - 1$ . Therefore

since we know  $|\mathbf{e_i}|$  for each i,  $\mathbf{x^n}$  is uniformly-computable from  $\mathbf{u}$ ,  $\mathbf{v}$  and the concatenation of the  $\mathbf{e_i}$ 's. Thus,  $\mathbf{\hat{t}} \qquad \qquad \mathbf{v} \qquad \mathbf{1}$   $\mathbf{K}(\mathbf{x^n};\mathbf{n}) \text{ f } 2\text{-log}(\mathbf{u_i}) + 2\text{-log}(\mathbf{v_n}) + \mathbf{\hat{t}} \qquad \log(\mathbf{l_i}) + \mathbf{c.}$   $\mathbf{i=0}$  It can be shown that  $\mathbf{\hat{t}} \qquad \log(\mathbf{l.}) < \mathbf{\hat{t}} \qquad \mathbf{\hat{t}} \qquad \mathbf{\hat{t}}$ , from which  $\mathbf{\hat{t}} = \mathbf{0}$ 

oo  $\overset{\textbf{n}}{}$  \_ i it follows that for every e > 0, Vn.K(x ;n) <f. (g+ e) «n.

The next theorem shows that this is in fact a tight upperbound.

Theorem 2.14; There exists an almost recursive sequence x such that for some constant  $c > 0^n x^n - c$ .

Proof; Let y be a sequence such that y < 2 An.  $n - c^T$  for some constant  $c^T$ . Define x(2n) = y(n) and x(2n+1) = 1 - y(n). Define x(2n) = y(n) and x(2n+1) = 1 - y(n). Define x(2n) = y(n) and x(2n+1) = 1 - y(n). Define x(2n) = y(n) and x(2n+1) = 1 - y(n). Also x(2n+1) = 1 - y(n) is uniformly computable from  $x^{2n}$  so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) is uniformly computable from  $x^{2n}$  so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) is uniformly computable from  $x^{2n}$  so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) and x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) is uniformly computable from  $x^{2n}$  so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) and x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) for x(2n+1) = 1 - y(n) so that x(2n+1) = 1 - y(n) for x(2n+1) =

We consider now one further formulation of pseudorecursive sequence due to Dekker and Myhill [4].

<u>Definition 2.5</u>; A sequence x is <u>retraceable</u> if and only if there exists a partial recursive function cp

such that if x(n) = 1 then 1) if 1 (1) = n x then cp(n) = n and 2) if 1 (m) = n for m > 1 x then  $cp(n) = 1_x(m-1)$  •

Theorem 2.15: If x is a retraceable sequence then there is a constant c > 0 such that xeC[An.log(n) + c].

Proof; Let x be retraceable and let cp be a partial recursive function such that if x(n) = 1 then 1) if  $l_{\frac{1}{X}}(1) = n$  then cp(n) = n and 2) if 1 (m) = n for m > 1 then  $cp(n) = l_v(m-1)$ .

Let  $m_n$  be the largest m such that m < f n and c(m) = 1. Given cp(n) = 1 therefore, since cp(n) = 1 therefore, since cp(n) = 1 therefore, cp(n) = 1 therefor

We now direct our attention toward the low end of the minimal-program complexity hierarchy, in an attempt to discover the properties of sequences with extremely low complexity. However, contrary to our intuition we will find sequences with extremely low complexity which possess properties of randomness. The following theorem will play a most important part in constructing sequences of extremely low complexity.

Theorem 2,16; If x is a dense recursively enumerable sequence then for every fef, xeC[f].

Proof; Fundamentally the proof is very simple. Since x is r.e. there is a total recursive function h which enumerates the l's of x. Also x is dense so that for each fe&, there are at most  $f(n) = 0^{T}s$  occurring in  $x^{n}$ . By specifying how many 0's occur in  $x^n$  we can determine when h has enumerated all the  $l^{T}s$  in  $x^{n}$ . Thus,  $K(x^{n}|n) \notin log(f(n)) + c \notin f(n)$ . However, Lemma 1.10 is of no use to us in calculating  $K(x^n;n)$  since we are interested in functions fef with  $f(n) \ll \log(n)$ . In order to compute  $x^n$  uniformly we must know how many  $0^f$ s occur in  $x^1$  for each i < n. We accomplish constructing an information string 6 which will enable us to compute the number of 0's in  $x^{n}$ where g(m) J> n. Thus to compute  $x^{i}$  for each i ^ n we compute  $x^{g^{-1}}T^{g^{-1}}$  where m. = |j.m.g(m) J\geq i. We now present the formal proof.

Let fef and define g(n) = |im.f(m)| > 3\*n. Clearly gef. Thus for some n, 0 (n)  $J \ge g(n)$  for  $\underline{f(n)} = 0$   $\times$  every  $n \nearrow n_Q$ . Also g(-y?)  $y_{-n\#-Let}$  h be a total recursive function which enumerates the 1!s of x. We define the sequence 6 by  $6(n) = 1 < f f g(n - S_{M-n}(6)) \nearrow 9 (S_{n-n}(6) + 1).$  Define f(n)  $f(n) = 1 < f f f g(n - S_{M-n}(6)) \nearrow 9 (S_{n-n}(6) + 1).$ 

to be the largest t such that 9.(t) £ g(n).

Thus t(n) = #0!s in  $x^{g(n)}$ . Furthermore it can be shown that  $s_{n+}t(n) \wedge e^{-fc\wedge n\wedge *}$ 

We now show how to compute  $x^i$  for i < f n. Let  $m_n$  be the least m such that g(m) > n.  $m_n + t(m_n)$  We can compute x from g, h and h as follows:

- 1) Find the least k, call it k^ such that g(k)  $J \ge i$ . Clearly k, £ m<sub>n</sub>.
- 2) Calculate h(j) for each j  $^{>}$  1 until the number of values of h, which are less than or equal to  $g(k_1)$ , is equal to g(k) S, .,, x(6). We will then know that we have computed all the  $l^Ts$  occurring in  $x^{g^*}$  and hence have computed  $x^{g^*}$  i .  $x^i$  is then simply the first i bits of  $x^{g(k\pm)}$ .

Thus by Lemma 1.8^  $\text{Vn.K}(x;n) \leftarrow [6] + c.$ Now  $\text{t}(m_n) \neq m_n + n_o$  and since  $\text{g}(\frac{f_{nn}}{3}) > n,$   $m_n \leq \frac{f}{3} - \text{Hence} = [6^n - n] - 2^n - n + n_o$  and consequently xeC[f].

Although the following proposition is a consequence of subsequent theorems, we present it here to demonstrate the usefulness of the previous theorem and to illustrate the techniques which we will be using.

<u>Proposition 2,17</u>; There is a sequence x such that x is not near recursive and for every fef, xeC[f].

<u>Proof</u>; In order to construct a sequence x which is not n.r. we must insure that  $\$(x\equiv r) \land 1$  for every recursive sequence  $r_o$  Let  $\{\phi_i\}$  be an effective enumeration of all partial recursive functions. We will arrange to know which  $cp_i$  are in fact total recursive 0-1 functions since these functions yield the recursive sequences. Furthermore, we must manage our construction process so that the number of recursive functions which we are considering at any given time is sufficiently small so that the amount of information needed is extremely small.

Let y be a dense r.e. sequence and let fef.

By Theorem 2.16, yeC[An.f(n)] • Also we know that

there are at most f(n) • O's occurring in f(n) • We

define the sequence 5 by, f(n) = 14 = 5 > cn is a total

recursive 0-1 valued function. Define f(n) = 2 - cn • f(n) = 2 -

 $x^n$  can be uniformly computed from  $y^n$  and 6(j) for each j such that  $9_y(j) \le n$ . Therefore  $x^n$  is uniformly computable from  $y^n$  and  $6^{f(n)}$ , 3 so that

 $Vn.K(x^n;n) \le i J6^{f(n)/3} + 2-K(y^n;n) + c$  and consequently  $xeC[f] \cdot$ 

We now show that  $(x=r)^{1}$  for every recursive r. Let r be a recursive sequence so that for some j, r(n) = cp.(n). It follows that  $x(t(i,j)) \neq cpj(t(i,j))$  for every  $t(i,j) > 9_y(j)$ . Thus  $S_n(x=r) \leq n - 2^{n-1} \cdot n + 9_y(j)$  for every  $n > 9_y(j)$ . Therefore  $(x=r) \leq 1 - 2^{-3} \cdot 1$ .

#### §3. Pseudo-Random Sequences

In this section we examine the relationship between certain formulations of pseudo-random sequence and the minimal-program complexity hierarchy.

Interpreting each binary sequence as the sequence of outcomes of a coin tossing event, a subsequence selection rule for a sequence x is a function f which selects certain members of x in such a way that whether or not f selects the nth member of x depends only on x and x and x. Let x be an effective bijection between x and x.

- <u>Definition 3.1</u>; Let  $f : N \times N^{\sim} \{0,1\}$  and x be a binary sequence. We define the <u>selection sequence</u> y of f for x by  $y(n) = f(n, \langle x^{n} \rangle)$ . We call  $xol_{\mathbf{y}}$  the subsequence of x selected by f.
- <u>Definition 3.2</u>; A sequence x is <u>Church (I) random</u> if and only if for each infinite subsequence y of x, selected by a total recursive function,  $\$(y) = -\frac{1}{2}$ .
- <u>Definition 3.3</u>; A sequence x is <u>Church (II) random</u> if and only if for each infinite subsequence y of x, selected by a partial recursive function,  $\langle \pm (y) \rangle = \sqrt[3]{x}$ .

The intuitive distinction between Church (I) random and Church (II) random sequences lies in the observation that Church (I) random sequences are "random" with respect to all effective subsequence selection rules which are defined for all sequences, wheareas Church (II) random sequences must in addition be "random" with respect to effective subsequence selection rules which may be undefined for certain sequences.

Church (I) random sequences are the original sequences proposed by Church [3] as a definition of random sequence.

Ville [15] showed that for any countable collection of selection rules one can always construct a sequence x (kollektiv) which is random with respect to these selection rules and whose initial segments always possess more 1's than 0's, so that x does not satisfy the law of the iterated logarithm. Thus there are Church random sequences ((I) and (II)) which are not "truly" random.

The following theorem, which is due to Loveland, shows that there are Church (I) random sequence with extremely low minimal-program complexity.

Theorem 3.1: There exists a Church (I) random sequence x
such that for every fef, xeC[f].

<u>Proof</u>; This proof relies strongly on the LMS algorithm, which is a well known technique for producing pseudorandom sequences by considering at each successive stage of construction successively larger finite sets of

subsequence selection rules and generating a sequence which is "random<sup>11</sup> with respect to each selection rule in the set. Let  $\{c_{\mathbf{l}}\}$  be an enumeration of all two argument partial recursive functions. Since our selection rules are total recursive functions we can enumerate the selection rules effectively using  $\{c_{\mathbf{l}}^{\wedge}\}$  by specifying which  $c_{\mathbf{l}}^{-}$  are total recursive. We will increase the cardinality of the sets of selection rules at a rate slow enough to insure that the information requirements will be extremely low.

Let y be a dense r.e. sequence and let fef. It follows that there are fewer than  $\frac{1}{4}\sqrt{\frac{n_i}{n_i}}$  0°s occurring in y and by Theorem 2.16° yeC[An.  $\frac{f(n)}{n_i}$ . We define the sequence 6 by 6(n) = 1°=° cp is a total recursive 0-1 function. We construct x in stages. At each stage m we define x(n) for ne(9 y(m-1)°G y(m)]. (Here we use (ijj] to denote [k|keN and i < k jf j}). Our construction process at stage m will use the set of selection rules  $A_m = \{p_n \mid n \le n \text{ and } 6(n) = 1\}$ . It will follow that A is computable from 6° and

consequently x will be uniformly computable from  $_{y}^{f(n)}/_{and}^{4}$  and  $_{6}^{f(n)/4}$ , and so xeC[f]. We now give the LMS algorithm which we will use.

Define 
$$j V n - S$$
, if  $5(i) = 1$ 

$$z_{i}(n) = <,$$
(j3j otherwise

We define the <u>patterns</u> at stage m to be the following strings ir of length m:  $ir = z_1(n) \dots z_m(n)$  where ne(9  $v^{(m-1)}, G^{(m)}$ ].

We say that the above pattern rr occurs at the nth step in the construction of x. We note that only stage m patterns can occur at the steps n for  $ne(9_{\mathbf{y}}(m-1),0_{\mathbf{y}}(m))$ . We define x(n)=1 <£=> the pattern occurring at the nth step has occurred at an even (or zero) number of earlier steps.

To show that x is Church (I) random let cp be a total recursive 0-1 valued function of two variables. Now cp =  $\mathfrak{P}$  for some j. Since for each pattern T, X takes alternating values of 0's and 1<sup>f</sup>s on each succeeding occurrence of ir, it follows that for every step n at every stage m J $\geq$  j,

Theorem 3.1 presents us with somewhat of a dilemma at this stage of our investigation. One might argue that such a result shows that there is very little relation between information and randomness, or that such sequences are very poor formulations of pseudo-randomness, or that our complexity does not accurately reflect the information content of sequences. Since it is our conviction that there is indeed a relation between information and randomness and that this complexity does accurately reflect information content, we must view this result as a rather disturbing

one. However, our investigations in a subsequent paper show in essence we are able to keep our information requirements low for the computation of such sequences only by making the requirements of computation resources (time, memory, etc.) non-deterministically large.

In several of the arguments to follow we will, in addition to selecting members of a sequence x by some selection rule, also want to guess by betting (according to some betting strategy) the value of the selected member. The following proposition shows that the Church random sequences are "random" also with respect to these "betting<sup>11</sup> schemes.

Proposition 3.2: Let  $f: N \times N \to \{0,1\}$  and  $g: N^3 \to \{0,1\}$  and let x be a binary sequence. Let y be the selection sequence of f for x. Define the betting sequence z relative to g by  $z(n) = g(n, \langle y^{1 \wedge (n)} \rangle, \langle x^{1} y^{(n)} \rangle^{1})$ . Define the functions  $f^{\wedge}$  and  $f_{2}$  by  $f_{1}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n) = 1$  and z(m) = 1, where m = 1, y(m),  $f_{2}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n) = 1$  and z(m) = 0, where n = 1, y(m), If  $f_{2}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n) = 1$  and  $f_{2}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{2}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{2}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  are the subsequences of  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  by  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n} \rangle^{1}) = 14 \times y(n)$  and  $f_{3}(n, \langle x^{n$ 

if  $\$(xol_{z_1}) = \frac{1}{z_2}$  and  $*(xol_{z_2}) = -\frac{1}{2}$  then  $*(xol_{z_1} = \overline{z}) = -\frac{1}{2}$ .

We now show that in order for the LMS algorithm construction used in Theorem 3.1 to be successful it is necessary that the sequences used in the construction be selected by total recursive functions.

Theorem 3.3: If x is Church (II) random then for some constant c, x < f(3[An. log(n) - c].

<u>Proof</u>: Let x be a sequence such that xeC[An.log(n) -3]. We will construct a selection sequence y and a betting sequence z such that  $(xol = z) ^-y$ . In fact we y z

define y(n) = 1 for all n so that we will attempt to guess each member of x. The strategy defining  $z_9$  which will rely strongly on the fact that  $XGC[An.log(n)-3]_9$  is as follows.

Let  $K_n^n = \{w^n | K(w^n; n) \ f \log(n) - 3\}$ , then

Let  $w^{\perp}$  be the first sequence whose computation by a program of length  $\stackrel{?}{<}f \log(n) - 3$  terminates. We will suppose that w. $\stackrel{!}{\cdot}$  is x , by setting z(j) = w(j), unitl we discover otherwise, i.e. until we find the first j for which x(j) / w(j). If and when we discover that  $w^{\stackrel{?}{\cdot}}l^{\stackrel{?}{\cdot}}$  is not  $x^n$ , we find as before the next member  $w^{\stackrel{?}{\cdot}}$  of  $K^n$  and suppose until proven otherwise that  $w^{\stackrel{?}{\cdot}}l^{\stackrel{?}{\cdot}}$  is  $x^n$ . We continue this procedure until the real  $x^n$  is found. Thus after at most -j

incorrect guesses^ assuming  $x^n \in K_n$ , we are certain to find  $x^n$ . Therefore^ S (x=z) ^>\_- $\frac{3}{2}$  • n. We now present the formal proof.

We define z in stages. At each stage m we define z(n) for  $ne(e_{m-1}i^{e_{m}}]j$  where  $e_{m} = 2^{-i}$  by z(n) = w(n), where w is the first (with respect to time of computation) string of length  $e_m$  computable by a program of length <  $^{\circ}$  log(e $_{\mathbf{m}}$ ) - 3 and which extends  $x^{11}$ . Since there are at most  $2-2^{\log n + m}$ . programs of length  $_{\pounds}$  log(e $_{\mathbf{m}}$ ) - 3, and since  $\mathbf{x}^{^{\mathtt{Gm}}}$  is computable by a program of length  $< \frac{1}{m} \log(e_m) - 3$ there can be at most  $-j^{\circ}$  values j, for  $^{\circ}(^{e}_{m}i^{\circ}_{m}l)$ for which  $z(j) ^ x(j)$ . Hence  $S_e$  (ZHX)  $^{\circ} \mid -e_m - f - e_m = f \cdot e_m$ . Itfollows that  $(z=x) ^> 0 - ^1$ . Clearly we can define z by  $z(n) = g(n^{<1})^{\frac{\gamma}{2}} (n^{<1})$  for some partial recursive function g' since the procedure is recursive in the chosen w and w can be found by a partial recursive function. Therefore by Proposition 3.2 x is not Church (II) random.

In order to see that this result is consistent with Theorem 3.1 it must be observed that the above procedure is not total recursive. Clearly if x is any sequence such that  $x^{C}[An.log(n)-3]$  then for infinitely many stages m there is some  $ne(e_{m-1}^{n}e_{m}^{n})$  for which we are

unable to find a w (i.e. we have exhausted  $K_Q$  and so we will search forever unsuccessfully). Thus z(n) is undefined and the procedure cannot be total recursive.

Thus we are able to make a strong distinction between the class of Church (I) random sequences and the class of Church (II) random sequences by using the minimal-program complexity hierarchy. We now show that the lowerbound for the complexity of Church (II) random sequences of Theorem 3.4 is nearly a tight lowerbound.

Theorem 3.5: There is a Church (II) random sequence x such that for every fef, xeC[An.f(n)·log(n)].

Proof: The proof is very similar to that given in Theorem 3.1. Since we must be concerned with &11 partial recursive functions, to assure that the LMS algorithm proceeds successfully we must specify when a particular partial recursive function will not be defined if we attempt to use it as a selection rule. It does not suffice to specify which partial recursive functions will eventually be so undefined since by neglecting to consider them as selection rules for the values for which they are defined will in general alter the sequence which we are con-

We now proceed with the construction. Let y be a dense r.e. sequence and let fef. Then we have  $y \in C[An.^{-1}]$  and #0's in  $y^{ll} \pounds - ^{\bullet}$ . We

structing.

construct x in stages. At each stage m we construct x(n) for  $ne(9_{\mathbf{y}}(m-1)_3 9_{\mathbf{y}}(m)]$ . For each  $j \leq m$ , let  $k_{\mathbf{j}} = |ak(k^9_{\mathbf{y}}(m))|$  and  $\mathfrak{p}_{\mathbf{j}}(k, \langle x^k \rangle)$  is undefined), where  $\{\mathfrak{p}_{\mathbf{j}}\}$  is an enumeration of all two-variable partial recursive functions. Let  $k_{\mathbf{j}} = 9_{\mathbf{j}}(m) + 1$  if no such k exists. For each  $\mathbf{j} \triangleq m$  define

$$z_{j}(n) = \begin{cases} \varphi_{j}(n, \langle x^{n-1} \rangle, & \text{if } n < k_{j} \\ 0, & \text{otherwise.} \end{cases}$$

We say that  $IT = z_1(n)...z_m(n)$ , for ne(9 (m-1), 9 (m)), is a pattern at stage m and that IT occurs at the nth step in the construction of x. We define  $x(n) = 1 \Leftrightarrow$  the pattern IT occurring at step n has occurred at an even (or zero) number of earlier steps.

We now show that x is Church (II) random. Let cp be a partial recursive function of two variables. Suppose that  $\operatorname{cp}(n, < x^n, ^1) > is$  defined for every n (otherwise cp does not select an infinite subsequence of x). Now  $\operatorname{cp} = \operatorname{cp}_j$  for some j. Since for each pattern IT X takes alternating values of  $0^T$ s and  $1^t$ s on each succeeding occurrence of IT, we conclude as in Theorem 3.1 that  $\$(xol) = \frac{1}{2}$ , so that x is Church (II) random.

Clearly  $x^n$  is computable from  $y^n$  and  $k_j$  for  $9_y(j) < n$ . Thus by lemma 1.9 we conclude,  $n \in \mathbb{N}$  is  $n \in \mathbb{N}$  to  $n \in \mathbb{N}$  to  $n \in \mathbb{N}$  the conclude,  $n \in \mathbb{N}$  the conclude  $n \in \mathbb{N}$  the con

 $\leq f(n) - log(n)$ 

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