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APPROXIMATE BOUNDARY CONTROLLABILITY  
OF THE HEAT EQUATION, II

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In a previous note [1] the authors considered the problem of approximating a prescribed temperature state in a body, at time  $t$ , by adjusting the boundary temperature over a preceding interval of time. There,  $L_2$ -approximations were discussed, but we wish now to obtain analogous results for the  $C^m$ -topology. More precisely the following result was established. Let  $R$  be a bounded open subset of  $R^n$  with piecewise-smooth boundary  $\partial R$  having finite  $(n-1)$ -dimensional volume. For  $-1 < a < b < 0$ , let

$$B_{ab} = \partial R \times (a, b).$$

Let  $\bar{u}$  be a continuous function in  $B_{ab}$  and let  $U(x, t; \bar{u})$  denote the solution of the problem.

$$(1) \quad \begin{aligned} U_t &= \Delta U \quad \text{in } R \times (-1, 0] \\ U(x, -1) &= 0, \quad x \in R \\ U(x, t) &= \bar{u}(x, t) \quad \text{for } (x, t) \in B_{ab} \\ U(x, t) &= 0 \quad \text{for } (x, t) \in (\partial R \times [0, 1]) - B_{ab} \end{aligned}$$

Theorem 1 . Suppose  $f \in L_2(R)$  and  $\epsilon > 0$  are given. Then for any  $a$  and  $b$ ,  $-1 < a < b < 0$  there exists a function  $\bar{u}$ , continuous in  $B_{ab}$ , such that

$$\|U(\cdot, 0; \bar{u}) - f(\cdot)\|_{L_2(R)} < \epsilon.$$

In the present work we will show that it is also possible to obtain uniform approximations to the function  $f$  provided

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that it is sufficiently smooth. We show in fact that if  $f$  is  $k$ -times differentiable in  $\bar{R}$  then it and all its derivatives up to order  $k$  can be approximated uniformly in  $R$ .

In the present application we will have to discuss derivatives of functions up to and including the boundary. Hence it is necessary to put some smoothness conditions on  $\partial R$ . In order not to have to concern ourselves with precise conditions in various situations we make the following hypothesis:

(A.1)  $\partial R$  is a  $C^\infty$  surface.

Next we point out that if a function  $f$  is to be uniformly approximated by functions of the form  $U(x,t;\bar{u})$  it is necessary that it satisfy certain compatibility conditions on  $\partial R$ . Note first that any function of the form  $U(x,t;\bar{u})$  is identically zero for  $x \in \partial R$  and  $t$  near zero. Hence we must have

$$\frac{\partial^k}{\partial t^k} U(x,t;\bar{u}) \equiv 0 \quad \text{for } x \in \partial R, \quad t = 1, \quad k = 0, 1, 2, \dots$$

But then it follows from the first of equations (1) that

$$(2) \quad \Delta^k U(x,t;\bar{u}) \equiv 0 \quad \text{for } x \in \partial R, \quad t = 1, \quad k = 0, 1, 2, \dots$$

Suppose now that  $f \in C^M(\bar{R})^*$  and that  $f$  together with all derivatives up to order  $M$  can be uniformly approximated on  $\bar{R}$  by functions of the form  $U(x,t;\bar{u})$ . Then it follows from (2) that  $f$  must satisfy the conditions,

$$(A.2) \quad \Delta^j f \equiv 0 \quad \text{for } x \in \partial R, \quad j = 0, 1, \dots, \left[ \frac{M}{2} \right]$$

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(\*) That is  $f \in C^M(R)$  and the derivatives of  $f$  up to order  $M$  are all uniformly continuous in  $R$ .

Our main result is that all functions  $f$  which satisfy conditions (A.2) can be uniformly approximated in the sense desired.

Theorem 2: Let  $R$  be a domain in  $R^n$  satisfying (A.1). Let  $f \in C^M(\bar{R})$  and suppose that  $f$  satisfies (A.2). Let  $\epsilon > 0$  be given. Then for any  $a$  and  $b$ ,  $-1 < a < b < 0$ , there exists a function  $\bar{u}$  continuous in  $B_{ab}$  such that

$$(3) \quad |f-U|_M = \sup_{\substack{x \in R \\ |\alpha| \leq M}} |D^\alpha f - D^\alpha U| < \epsilon$$

Here we use the standard notation in which  $\alpha$  is a vector  $(\alpha_1, \dots, \alpha_n)$  with non-negative integer entries,

$$\begin{aligned} |\alpha| &= \alpha_1 + \dots + \alpha_n \\ \text{and} \\ D^\alpha &= \left(\frac{\partial}{\partial x_1}\right)^{\alpha_1} \dots \left(\frac{\partial}{\partial x_n}\right)^{\alpha_n} \end{aligned}$$

We shall prove theorem 2 presently. First, however, we wish to point out that we can modify our result so that it gives uniform approximations to general  $k$ -times differentiable functions. To accomplish this we must, of course, give up the requirement that the boundary functions  $\bar{u}$  should vanish near  $t = 0$ . For  $-1 < c < 0$  let us denote by  $B_c$  the set

$$B_c = \partial R \times (c, 0].$$

Now define  $U(x,t;\bar{u})$  as in (1) but with  $B_c$  replacing  $B_{ab}$ . Then we have the following result.

Theorem 3: Let  $R$  be a domain satisfying (A.1). Let  $f \in C^M(\bar{R})$ . Then for any  $c$ ,  $-1 < c < 0$ , there exists a  $\bar{u}$  continuous in  $B_c$  such that if  $\hat{f}(x) = f(x) - U(x,0;\bar{u})$  then  $\hat{f} \in C^M(\bar{R})$  and  $\hat{f}$  satisfies (A.2).

Once we have theorems 2 and 3 we can approximate an arbitrary  $f \in C^M(\bar{R})$  by an expression of the form  $U(x,t;\bar{u}_1+\bar{u}_2)$  where  $\bar{u}_1$  has support in  $B_{ab}$  and  $\bar{u}_2$  has support in  $B_c$ ,  $a,b,c$  arbitrary.

The proof of theorem 3 is easy and we give it here. Suppose that for the given function  $f$  we have,

$$\Delta^j f = \varphi_j \quad \text{for } x \in \partial R \quad j = 0, 1, \dots, \left[\frac{M}{2}\right].$$

Let  $\bar{u}$  be a function defined on  $B_c$  such that

$$(4) \quad \lim_{t \uparrow 0} \frac{\partial^j \bar{u}(x,t)}{\partial t^j} = \varphi_j(x) \quad x \in R, \quad j = 0, 1, \dots, \left[\frac{M}{2}\right],$$

and consider the function  $U(x,t;\bar{u})$ . We have then

$$U(x,t;\bar{u}) = \bar{u}(x,t) \quad (x,t) \in B_c,$$

hence by (4)

$$(5) \quad \lim_{t \uparrow 0} \frac{\partial^j U(x,t;\bar{u})}{\partial t^j} = \varphi_j(x) \quad x \in \partial R, \quad j = 0, 1, \dots, \left[\frac{M}{2}\right].$$

On the other hand,  $U(x,t;\bar{u})$  is a solution of the heat equation; hence,

$$\Delta^j U(x, 0; \bar{u}) = \frac{\partial^j}{\partial t^j} U(x, 0; \bar{u}) = \varphi_j(x),$$

$$x \in R, \quad j = 0, 1, \dots, \left[\frac{M}{2}\right],$$

by (5).

Proof of Theorem 2. For each integer  $m$ , let  $H_{2m}$  denote the Hilbert space composed of functions on  $R$  having  $2m$  strong derivatives,  $\wedge$  and let  $A_{m, \Delta}$  denote the closed subspace of  $H_{2m}$  which is generated by those functions in  $C^{2m}(\bar{R})$  satisfying,

$$(6) \quad \Delta^j f = 0 \quad \text{for } x \in \partial R \quad \text{and } j \leq m-1.$$

[That is,  $A_{m, \Delta}$  is generated by functions all of whose normal derivatives of even order  $\leq 2m-2$  are zero.] Now on  $A_{m, \Delta}$  the bilinear form  $(\cdot, \cdot)_{m, \Delta}$  defined by

$$(7) \quad (u, v)_{m, \Delta} = \int_R \Delta^m u \Delta^m v \, dx$$

defines a norm  $\| \cdot \|_{m, \Delta}$  which is equivalent to the  $H_{2m}$ -norm defined by

$$\|u\|_{2m}^2 = \sum_{|\alpha| \leq 2m} \|D^\alpha u\|_{L^2(R)}^2.$$

That is, one has the following result.

Lemma 1. There exists a constant  $K$  depending only on  $m$  and  $R$  such that for any  $u \in A_{m, \Delta}$ ,

$$\|u\|_{2m} \leq K \|u\|_{m, \Delta}$$

Proof: To see that  $\| \cdot \|_{m, \Delta}$  is a norm, notice that if

$f \in C^{2m}(\bar{R})$  satisfies (6) and in addition  $\|f\|_{m,\Delta} = 0$  then, in particular, we have

$$\Delta^m f = \Delta(\Delta^{m-1}f) \equiv 0 \text{ in } R, \quad \Delta^{m-1}f = 0 \text{ for } x \in \partial R.$$

Thus it follows that  $\Delta^{m-1}f \equiv 0$  in  $R$ . Proceeding successively we deduce from (6) that  $f \equiv 0$  in  $R$ . Moreover, for any  $f \in C^r(\bar{R})$  which satisfies  $f = 0$  for  $x \in \partial R$  one has ([2], page 195)

$$(8) \quad \|f\|_r \leq C \|\Delta f\|_{r-2},$$

where  $C$  depends only on  $R$  and  $r$ . Hence beginning with  $r = 2m$  and proceeding successively, we deduce that for all  $f \in C^{2m}(\bar{R})$  which satisfy (6) one has

$$(9) \quad \|f\|_{2m} \leq C \|\Delta f\|_{2m-2} \leq C' \|\Delta^2 f\|_{2m-4} \leq \dots \leq K \|\Delta^m f\|_0 = K \|f\|_{m,\Delta},$$

where  $K$  depends only on  $m$  and  $R$ . This concludes the argument.

Now recall that, according to Sobolev's lemma, if  $2m > M + \frac{n}{2}$  then the following inequality holds for all functions in  $H_{2m}$ ,

$$(10) \quad |f|_M \leq C \|f\|_{2m},$$

where the norm on the left is the  $C^M$ -norm defined in (3) and where  $C$  depends only on  $M, m$  and  $R$ . Thus lemma 1 yields the following result.

Corollary. If  $u \in A_{m,\Delta}$  and  $2m > M + \frac{n}{2}$  then



$$(11) \quad |u|_M \leq C \|u\|_{m, \Delta},$$

where  $C$  depends only on  $M, m$  and  $R$ .

The proof of theorem 2 now rests on the following two lemmas.

Lemma 2 For any  $a$  and  $b$  the functions  $U(x, 0; \bar{u})$ , for  $\bar{u}$  continuous in  $B_{ab}$ , are dense in  $A_{m, \Delta}$ .

Lemma 3 Let  $M$  be given and let  $m$  be any integer with  $m > M + [\frac{n}{2}]$ . Let  $f \in C^M(\bar{R})$  and satisfy (A.2) and let  $\epsilon > 0$  be given. Then there exists an  $f_1 \in C^{2m}(\bar{R})$  which satisfies (6) and is such that  $|f - f_1|_M < \epsilon$ .

With these two lemmas in hand the proof of theorem 2 is immediate. Given  $f \in C^M(\bar{R})$  and the numbers  $a, b$  and  $\epsilon > 0$ , lemma (3) states that we can find, for any  $m > M + [\frac{n}{2}]$ , an  $f_1 \in C^{2m}(\bar{R})$  satisfying (6) and such that  $|f - f_1|_M < \frac{\epsilon}{2}$ . Then by lemma (2) we can find a function  $\bar{u}$  continuous in  $B_{ab}$  such that

$$\|f_1(\cdot) - U(\cdot, 0; \bar{u})\|_m < \epsilon/2C.$$

Since  $f_1$  and  $U(\cdot, \cdot, \bar{u})$  are both in  $C^{2m}(\bar{R})$  and satisfy (6) it follows then by (11) that

$$|f_1(\cdot) - U(\cdot, 0; \bar{u})|_M < \frac{\epsilon}{2};$$

hence

$$|f(\cdot) - U(\cdot, 0; \bar{u})|_M < \epsilon.$$

Proof of Lemma 2.

Let us now outline the proof of lemma 2.

This closely parallels that of [1] and proceeds as follows.

The functions  $U(x,t;\bar{u})$  at  $t = 0$  can be written in the form,

$$(12) \quad U(x,0;\bar{u}) = \int_{-1}^0 \int_{\partial R} \bar{u}(y,\tau) G_{\nu}(x,y,-\tau) d\tau$$

Here  $G(x,y,t-\tau)$  is the Green's function for the heat equation in  $R$  and  $G_{\nu}$  denotes the normal derivative with respect to the variable  $y$ . For  $(y,\tau) \in B_{ab}$  it is easy to see that  $G_{\nu}(\cdot,y,-\tau)$ , considered as a function of  $x$ , belongs to  $C^{2m}(\bar{R})$  and satisfies (6), for any  $m$ . In [1] we exploited the fact that these functions spanned  $L_2(R)$ . The key here is that they also span  $A_{m,\Delta}$ .

Lemma 4 . The functions  $\{G_{\nu}(\cdot,y,-\tau)\}$  for  $(y,\tau) \in B_{ab}$  span the space  $A_{m,\Delta}$ .

We assume the validity of lemma 4 for the moment and complete the proof of lemma 2 . The  $f$  of lemma 2 belongs to  $A_{m,\Delta}$ . Hence given any  $\epsilon$  we can find points  $(y_1,\tau_1), \dots, (y_n,\tau_n)$  in  $B_{ab}$  and numbers  $c_1, \dots, c_n$  such that,

$$(13) \quad \|f(\cdot) - \sum_1^n c_i G_{\nu}(\cdot,y_i,\tau_i)\|_{m,\Delta} < \frac{\epsilon}{2}.$$

Now the function  $G_{\nu}(x,y,-\tau)$  has derivatives of all orders which are continuous in  $y$  and  $\tau$ . Hence given any  $\epsilon' > 0$  we can find  $\delta$  such that

$$(14) \quad |\Delta^m G_\nu(x, y, -\tau) - \Delta^m G_\nu(x, y_i, -\tau_i)| < \epsilon'$$

for  $x \in \bar{R}$  and  $|y - y_i| < \delta$ ,  $|\tau - \tau_i| < \delta$ . Let us choose functions  $\theta_i(y, \tau)$ , with supports in  $|y - y_i| < \delta$ ,  $|\tau - \tau_i| < \delta$  respectively such that

$$(15) \quad \int_{-1}^0 \int_R \theta_i \, dy d\tau = 1$$

and consider the function

$$U(x, t; \sum_1^N c_i \theta_i).$$

We have by (14) and (15)

$$(16) \quad \begin{aligned} & |\Delta^m U(x, 0; \sum_1^N c_i \theta_i) - \sum_1^N c_i \Delta^m G_\nu(x, y_i, -\tau_i) \int_{-1}^0 \int_R \theta_i \, dy d\tau| \\ &= \left| \sum_1^n c_i \int_{-1}^0 \int_R \theta_i(y, \tau) [\Delta^m G_\nu(x, y, -\tau) - \Delta^m G_\nu(x, y, -\tau_i)] \right| \\ &\leq \epsilon' \sum_1^n |c_i|. \end{aligned}$$

If we choose  $\epsilon'$  according to the inequality,

$$\epsilon' \leq \left( \sum_1^n |c_i| \right)^{-1} A^{-1/2} \epsilon / 2$$

where  $A$  denotes the  $n$ -volume of  $R$  then it follows from (15), (16) and Schwarz's inequality that,

$$\|f(\cdot) - U(\cdot, 0; \sum_1^N c_i \theta_i)\|_{m, \Delta} < \epsilon.$$

Thus the proof of lemma 2 is reduced to that of lemma 4 .

In order to prove lemma 4 we need to make use of the eigenfunctions of the Laplacian for  $R$ . These are functions,  $a_k$  satisfying the conditions,

$$(17) \quad \Delta a_k = -\lambda_k a_k, \quad a_k = 0 \quad \text{on} \quad \partial R, \quad \|a_k\|_{L_2(R)} = 1$$

The  $a_k$ 's form an orthonormal basis for  $L_2(R)$ . The  $\{\lambda_k\}$  are positive and if we let  $\{\mu_j\}$  be the distinct  $\lambda_k$ -values, ordered by increasing magnitude, then  $\{\mu_j/j^2\}$  is bounded away from 0 and  $\infty$ .

Lemma 5. The functions  $\{\lambda_k^{-m} a_k\}$  form an orthonormal basis for  $A_{m, \Delta}$ .

Proof: It follows from (A.1) that the  $a_k$  are infinitely differentiable in  $\bar{R}$  (see [2]pp.190,201). By (17) we see that  $\Delta^j a_k = 0$  on  $\partial R$  for any  $j$ . Hence  $\lambda_k^{-m} a_k \in C^{2m}(\bar{R}) \cap A_{m, \Delta}$ . We have, by (17),

$$\begin{aligned} (\lambda_k^{-m} a_k, \lambda_l^{-m} a_l)_{m, \Delta} &= \int_R (\lambda_k^{-m} \Delta^m a_k \lambda_l^{-m} \Delta^m a_l) dx \\ &= \int_R a_k a_l dx = \delta_{kl} \end{aligned}$$

Hence the set  $\{\lambda_k^{-m} a_k\}$  is orthonormal. Suppose  $\varphi \in C^{2m}(\bar{R}) \cap A_{m, \Delta}$  is orthogonal to  $\lambda_k^{-m} a_k$  for all  $k$ . Then

$$\begin{aligned} 0 &= (\lambda_k^{-m} a_k, \varphi)_{m, \Delta} = \int_R \lambda_k^{-m} \Delta^m a_k \Delta^m \varphi dx \\ &= \pm \int_R a_k \Delta^m \varphi dx \end{aligned}$$

Since the  $\{a_k\}$  span  $L_2(R)$ , it follows that  $\Delta^m \phi = 0$  in  $R$  and this together with  $\Delta^j \phi = 0$  on  $\partial R$ ,  $j = 0, 1, \dots, m-1$ , implies that  $\phi = 0$ .

We can now complete the proof of lemma 2. Suppose the span of  $\mathfrak{m} = \{G_\nu(\cdot, y, -\tau) : (y, \tau) \in B_{ab}\}$  were not dense in  $A_{m, \Delta}$ . We could then find a non-zero  $\phi \in \mathfrak{m}^\perp$ ; that is a function  $\phi \in A_{m, \Delta}$  such that

$$(18) \quad 0 = (\phi, G_\nu(\cdot, y, -\tau))_{m, \Delta} \text{ for each } \nu.$$

We can expand  $\phi$  in the form

$$\phi = \sum \beta_k \lambda_k^{-m} a_k, \quad \beta_k = (\phi, \lambda_k^{-m} a_k)_{m, \Delta}.$$

Hence (18) becomes

$$(19) \quad 0 = \sum_k \beta_k \Gamma_k(y, \tau)$$

where  $\Gamma_k(y, \tau)$  denotes the  $k$ -th Fourier coefficient of  $G_\nu(\cdot, y, -\tau)$  with respect to  $\{\lambda_k^{-m} a_k\}$ . Now  $G(x, y, -\tau)$  has the expansion

$$G(x, y, -\tau) = \sum_k a_k(x) a_k(y) e^{\lambda_k \tau}.$$

It follows that

$$\Delta^m G_\nu(x, y, -\tau) = \sum_k \Delta^m a_k(x) b_k(y) e^{\lambda_k \tau} = \tau_k (-\lambda_k)^m a_k(x) b_k(y) e^{\lambda_k \tau}$$

where  $b_k(y) = \frac{\partial a_k}{\partial \nu}(y)$ . Hence we have

$$\Gamma_k(y, \tau) = (-1)^m \lambda_k^{2m} b_k(y) e^{\lambda_k \tau}$$

and equation (19) becomes,

$$(20) \quad 0 = \sum_k \beta_k \lambda_k^{2m} b_k(y) e^{\lambda_k \tau}.$$

Now the series (20) converges absolutely and uniformly for  $(y, \tau) \in B_{ab}$ . To see this note that the inequalities  $Lk^2 < \lambda_k < Lk^2$  imply the existence of a constant  $J$  such that  $\lambda_k^{2m} e^{\lambda_k \tau} \leq J e^{\lambda_k b/2}$ . Thus (20) is majorized by the series  $\sum |\beta_k| |b_k(y)| e^{\lambda_k b/2}$  for  $(y, \tau) \in B_{ab}$ . This converges uniformly in  $y$  since  $b_k(y) e^{\lambda_k b/4}$  are the Fourier coefficients of  $G_\nu(\cdot, y, -b/4)$  for the set  $\{a_k\}$  and the functions  $G_\nu(\cdot, y, -\frac{b}{4})$  are uniformly bounded in  $L_2(\mathbb{R})$ , thus ensuring that  $|b_k(y)| e^{\lambda_k b/2} \leq C e^{\lambda_k b/4}$  for some fixed  $C$ . This provides a convergent series dominating (20) since the  $\beta_k$  are the coefficients of  $\varphi$  in the set  $\{\lambda_k^{-m} a_k\}$ .

The remainder of the proof is exactly as in [1]. We collect terms in (20) in which the eigenvalues are the same. Thus, if  $\{\mu_j\}$  are the distinct eigenvalues, (20) yields

$$(21) \quad 0 = \sum_j \gamma_j e^{\mu_j \tau} \quad a \leq \tau \leq b < 0$$

where

$$(22) \quad \gamma_j = \sum_{k \in K_j} \beta_k \lambda_k^{2m} b_k(y).$$

Here  $K_j = \{k: \lambda_k = \mu_j\}$  (note that each  $K_j$  is finite). From

(21) we obtain (see [1])

$$0 = \sum_{k \in K_j} \beta_k b_k(y) \quad \text{for } y \in \partial R.$$

But then  $\sum_{k \in K_j} \beta_k a_k(y)$  has both Dirichlet and Neumann data zero. Hence it is identically zero (see [1]) and by the independence of  $\{a_k\}$  it follows that the  $\beta_k$ 's are all zero.

Proof of Lemma 3. Finally we give a proof of lemma 3. Let  $f \in C^M(\bar{R})$  and satisfy  $\Delta^j f = 0$  on  $\partial R$  for  $j = 0, 1, \dots, [\frac{M}{2}]$ . Now we can find a function  $\Phi$  satisfying the conditions

$$(23) \quad \Delta^{2m} \Phi = 0 \quad \text{in } R$$

$$(24) \quad \Delta^j \Phi = 0 \quad \text{on } \partial R, \quad j \leq m - 1$$

$$(25) \quad \frac{\partial^k \Phi}{\partial \nu^k} = \frac{\partial^k f}{\partial \nu^k} \quad \text{on } \partial R \quad \text{for } k \text{ odd and } k \leq M,$$

where  $\nu$  denotes the normal to  $\partial R$ . Conditions (24) and (25) constitute a part of the Dirichlet data for equation (23).

The remaining part is a set of values of normal derivatives for  $\Phi$  of orders greater than or equal to  $M$  and less than or equal to  $2m$ . These latter can be specified arbitrarily as  $C^\infty$  functions and  $\Phi$  thus determined as a function in  $C^{2m}(\bar{R}) \subset C^M(\bar{R})$ .

Let  $F = f - \Phi$ . Then  $F \in C^M(\bar{R})$  and moreover we have

$$\Delta^j F = 0 \quad \text{on } \partial R \quad j \leq [\frac{M}{2}],$$

$$\frac{\partial^k F}{\partial \nu^k} = 0 \quad \text{on } \partial R \quad \text{for } k \text{ odd and } k \leq M.$$

From these conditions it is easy to see that  $F \in C_0^M(\bar{R})$ ; that is,  $F$  and all its derivatives up to order  $M$  vanish on  $\partial R$ . Hence if we write  $f = \Phi + F$  we see that lemma 3 will be proved if we can show that it is possible to approximate functions in

$C_0^M(\bar{R})$  by functions in  $C^{2m}(\bar{R})$  which satisfy (6). We establish, in fact, the stronger result that the set  $C_0^\infty(\bar{R})$  ( $C^\infty$  functions of compact support) is dense in  $C_0^M(\bar{R})$ .

Lemma 6.  $C_0^\infty(\bar{R})$  is a dense subset of  $C_0^M(\bar{R})$ .

Proof: The smoothness assumption on  $\partial R$  implies that for each  $x \in \partial R$  there exists a ball  $N^x$  containing  $x$  which is such that

- (a) the center  $z_x$  of  $N^x$  lies in  $R$
- (b) none of the segments  $\overline{z_x y}$ ,  $y \in N^x \cap \partial R$ , is tangent to (i.e., supports)  $\partial R$  at  $y$ .

Hence, by compactness of  $\partial R$  there exists a finite collection  $\{N^{x_i} \mid i = 1, \dots, p\}$  covering  $\partial R$  and there is some  $\theta_0 \in (0, \pi/2)$  such that the segments

$$\overline{z_{x_i} y} \quad (y \in N^{x_i} \cap \partial R, \quad i = 1, \dots, p)$$

all make an angle with  $\partial R$  at  $y$  exceeding  $\theta_0$ . Moreover, for some  $\epsilon > 0$  the set  $\bigcup_{i=1}^p N^{x_i}$  contains the closed  $\epsilon$ -neighborhood  $G_\epsilon$  of  $\partial R$ . Let  $R_\epsilon = R - G_\epsilon$ . Then the sets

$$(26) \quad R_\epsilon, \{N^{x_i}\}_{1 \leq i \leq p}$$

form an open covering for  $\bar{R}$ . Let  $\{\varphi_j\}_{0 \leq j \leq p}$  denote a corresponding  $C^\infty$  partition of unity for  $\bar{R}$ :

$$(27) \quad \text{supp } \varphi_0 \subset R_\epsilon, \quad \text{supp } \varphi_i \subset N^{x_i} \quad i = 1, \dots, p,$$

$$(28) \quad \sum_{j=0}^p \varphi_j(x) \equiv 1, \quad x \in \bar{R}.$$



Given an  $f \in C_0^M(\overline{R})$  (extended as zero outside  $R$ ) let  $\delta > 0$  be prescribed and examine the functions  $f_j$  defined by

$$(29) \quad f_j = f\phi_j \quad j = 0, \dots, p.$$

Now  $f_0$  is in  $C^M(\overline{R})$  and has its support in  $R_\epsilon$ , hence inside  $R$ . It follows ([3], p. 1642) that  $f_0$  can be approximated arbitrarily closely in the norm  $\|\cdot\|_M$  by functions belonging to  $C_0^\infty(R)$ . Select  $\tilde{f}_0$  satisfying

$$(30) \quad \|f_0 - \tilde{f}_0\|_M < \delta/2, \quad \tilde{f}_0 \in C_0^\infty(R).$$

Next we observe that by (27)

$$f_i \in C_0^M(N^{x_i} \cap \overline{R}) \quad i = 1, \dots, p.$$

Define the family of functions  $\{f_i^t\}$ ,  $t \in (1, \infty)$ , by

$$(31) \quad f_i^t(x) = f_i(tx + (1-t)z_{x_i}) \quad \text{for } t \in (1, \infty).$$

It follows by our construction of the  $\{N^{x_j}\}$  that the functions  $\{f_i^t\}$  satisfy

$$(32) \quad f_i^t \in C_0^M(\overline{R}) \quad \text{for } t \in (1, \infty).$$

Moreover it is easily verified that

$$(33) \quad \|f_i^t - f_i\|_M \rightarrow 0 \quad \text{as } t \rightarrow 1, \quad i = 1, \dots, p.$$

Hence there exist numbers  $t_i \in (1, \infty)$  such that

$$(34) \quad \|f_i^{t_i} - f_i\|_M < \delta/2^{i+2} \quad i = 1, \dots, p.$$

In addition, for  $t \in (1, \infty)$   $f_i^t$  has its support inside  $R$  and hence as above each  $f_i^t$  can be approximated arbitrarily closely in the norm  $\|\cdot\|_M$  by functions belonging to  $C_0^\infty(R)$ .

Select  $\{\tilde{f}_i\}_{1 \leq i \leq p}$  such that

$$(35) \quad \|f_i^t - \tilde{f}_i\|_M < \delta/2^{i+2}, \quad \tilde{f}_i \in C_0^\infty(R), \quad i = 1, \dots, p.$$

By construction, the function  $\tilde{f}$  defined by

$$(36) \quad \tilde{f} = \sum_{j=0}^p \tilde{f}_j$$

belongs to  $C_0^\infty(R)$  and by equations (33), (34), (35) and (36) we have

$$\|f - \tilde{f}\|_M \leq \sum_{j=0}^p \|f_j - \tilde{f}_j\|_M < \delta.$$

Since  $\delta > 0$  was chosen arbitrarily, this concludes the argument.

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