

MORPHISM CATEGORIES AND UNIVERSAL OBJECTS

Oswald Wyler

Report 68-36

November, 1968

We obtain a representation theorem for morphism categories with enough universal or couniversal objects which generalizes the well-known triple description of an adjoint functor situation by adjunction, front adjunction, back adjunction.

General formal properties of solutions of universal problems can easily be formulated in our theory, but we shall not do this here. We wish to point out that action of two categories on a class is closely related to a profunctor in the sense of Bénabou [1] but we shall not pursue this theme.

2. Action of categories on classes. We adopt in this note the usual convention of writing all compositions from right to left, but nearly everything else from left to right. We write $f \circ g$ and $A \wedge |C|$ if f is a morphism and A an object of a category K . $\text{id } A$ and $\text{Id } K$, or just A and K , denote an identity morphism and an identity functor, and K^{op} denotes the dual (or opposite) category of K .

Definition 2.1. We say that a category K acts on a class \mathcal{C} from the left if a composition $f \circ cr$ with values in \mathcal{C} is defined for some pairs (f, cr) in $K \times \mathcal{C}$ and satisfies the following conditions.

2.1.1. If $f \circ cr$ is defined for $f : A \rightarrow B$ in K and $cr \in \mathcal{C}$ then $(\text{id } A) \circ cr$ is defined, and $(\text{id } A) \circ cr = cr$.

2.1.2. If $g \circ f$ and $f \circ cr$ are defined, for f, g in K and $cr \in \mathcal{C}$, then $(g \circ f) \circ cr$ and $g \circ (f \circ cr)$ are defined, and equal.

Dually, we say that K acts on \mathcal{C} from the right if a composition $cr \circ f$ with values in \mathcal{C} , is defined for some pairs (cr, f) in $\mathcal{C} \times K$ and satisfies

the dual laws of 2.1.1 and 2.1.2.

Definition 2.2. We say that a pair (K_0, K_1) of categories acts on a class X if K_0 acts on X from the right, K_1 acts on X from the left, and the two actions are compatible, i.e. the following condition is satisfied.

2.2.1. If $CT^* f_0$ and $f_1 \circ cr$ are defined for $f_1 \in K_1$ and $c \in S_2T$, then $f_1 \circ (cr \circ f_0)$ and $(f_1 \circ cr) \circ f_0$ are defined, and equal.

Remarks 2.3. From now on, we usually omit the dots in compositions $f_1 \circ cr$ and $CT^* f_0$. We note the following consequence of 2.1.2 without proof,

2.3.1. Let K_1 act on X from the left, and let $f : A \rightarrow B$ in K_0 and $c \in S_2T$. If $(id_A) \circ cr$ is defined, then $f \circ c$ is defined, and $(id_B)(f \circ c)$ is defined.

If (K_0, K_1) acts on X , then we say that $cr : A_0 \rightarrow A_1$ in S_2T , or that $c \in \Sigma(A_0, A_1)$, for $cr \in X$ and $A_0 \in K_0, A_1 \in K_1$, if $cr \circ (id_{A_0})$ and $(id_{A_1}) \circ cr$ are defined. If $f : A_1 \rightarrow B_1$ in K_1 and $c \in S_2T$, then we have:

2.3.2. $f_1 \circ cr$ and $f_1 \circ cr$ are both defined if and only if $cr : B_0 \rightarrow A_1$ in S_2T and then $f_1 \circ cr \circ c : A_0 \rightarrow B_1$ in S_2T .

We note that 2.1.2 and 2.2.1 are weaker than the associative law for a category. But these laws are all that we need, and there are useful examples for which the stronger laws are not valid. All examples will be found in section 4.

3. Morphism Categories If two categories K_0, K_1 , two functors $U_0 : K_0 \rightarrow K_1$ and $f : K_0 \rightarrow K_1$ are given, then we construct a category M of commutative squares as follows.

Objects of \underline{M} are triples (A_0, σ, A_1) with $A_i \in \{K_i\}$ and $\sigma: U_0 A_0 \rightarrow U_1 A_1$ in Σ . Morphisms of \underline{M} are "commutative squares"

$$\begin{array}{ccc} & \xrightarrow{f_0} & \\ \sigma \downarrow & & \downarrow \tau \\ & \xrightarrow{f_1} & \end{array}$$

with σ, τ in Σ , $f_i \in K_i$, and with $(U_1 f_1)\sigma$ and $\tau(U_0 f_0)$ defined and equal. We write such a square as (σ, f_0, f_1, τ) , or inaccurately as $(f_0, f_1): \sigma \rightarrow \tau$. Identity morphisms and composition in \underline{M} are given by the formulas

$$\text{id}(A_0, \sigma, A_1) = (\sigma, \text{id } A_0, \text{id } A_1, \sigma) ,$$

$$(\tau, g_0, g_1, \rho)(\sigma, f_0, f_1, \tau) = (\sigma, g_0 f_0, g_1 f_1, \rho) ,$$

provided of course that $g_i f_i$ is defined in K_i . One verifies easily that \underline{M} is closed under composition, and a category.

Definition 3.1. We call the category $\underline{M} = [U_0, \Sigma, U_1]$ just constructed a morphism category.

Remark 3.2. We put $\underline{M} = [K_0, \Sigma, K_1]$ if in particular $U_i = \text{Id } K_i$ and acts on (K_0, K_1) . The general case can be retrieved from this special case as follows. Given $U_i: K_i \rightarrow K'_i$ and an action of (K'_0, K'_1) on Σ , we put

$$\sigma \cdot f_0 = \sigma(U_0 f_0) , \quad f_1 \cdot \sigma = (U_1 f_1) \sigma ,$$

for $\sigma \in \Sigma$ and $f_i \in K_i$, whenever the righthand sides are defined. This defines an action of (K_0, K_1) on Σ , and the resulting category $[K_0, \Sigma, K_1]$ is the same as $[U_0, \Sigma, U_1]$.

4. Examples. Proofs are omitted in this section.

4.1. If \underline{K} is a category, then $(\underline{K}, \underline{K})$ acts on \underline{K} by composition in \underline{K} . The resulting category $[\underline{K}, \underline{K}, \underline{K}]$ is usually denoted by \underline{K}^2 or $\text{Mor } \underline{K}$. More generally, a functor $F : \underline{C} \rightarrow \underline{K}$ produces categories $[\underline{F}, \underline{K}, \underline{K}]$ and $[\underline{K}, \underline{K}, \underline{F}]$.

4.2. Every pair $(\underline{K}_0, \underline{K}_1)$ of categories acts trivially on a singleton $\{0\}$, by $0 f_0 = f_1 0 = 0$ for all $f_i \in \underline{K}_i$. The resulting category $[\underline{K}_0, \{0\}, \underline{K}_1]$ is isomorphic to the product category $\underline{K}_0 \times \underline{K}_1$.

4.3. The category $\underline{1}$ consisting of one identity morphism 1 acts trivially on every class Σ , by $1\sigma = \sigma$ or $\sigma 1 = \sigma$ for every $\sigma \in \Sigma$. Action of $\underline{1}$ on Σ from the left is compatible with every action of a category \underline{K} on Σ from the right (and vice versa), and the morphism category $[\underline{1}, \Sigma, \underline{K}]$ is isomorphic to the category constructed in [2; ch. 2].

4.4. If \underline{K} acts on Σ from the left, then $\underline{K}^{\text{op}}$ acts on Σ from the right (and vice-versa), by putting $\sigma \cdot f = f\sigma$ whenever $f\sigma$ is defined. Similarly, an action of $(\underline{K}_0, \underline{K}_1)$ on Σ induces an action of $(\underline{K}_1^{\text{op}}, \underline{K}_0^{\text{op}})$ on Σ . Functors $U_i : \underline{K}_i \rightarrow \underline{K}_i'$ induce functors U_i^{op} of the dual categories, and the resulting category $[U_1^{\text{op}}, \Sigma, U_0^{\text{op}}]$ is isomorphic to the category $[U_0, \Sigma, U_1]^{\text{op}}$.

4.5. If \underline{K} is a category, then putting $f \cdot A = B$ and $B \cdot f = A$ for $f : A \rightarrow B$ in \underline{K} defines actions of \underline{K} on $[\underline{K}]$ from the left and from the right. These two actions are not compatible, i.e. 2.2.1 is not satisfied, if \underline{K} has a morphism $f : A \rightarrow B$ with $A \neq B$.

4.6. Two non-trivial examples. If R is a commutative ring with identity, \underline{K} the category of R -modules, and Σ the class of bilinear mappings $\sigma : A \times B \rightarrow C$ of R -modules, then $(\underline{K} \times \underline{K}, \Sigma)$ acts on Σ . Universal objects of the category $[\underline{K} \times \underline{K}, \Sigma, \underline{K}]$ are tensor products of pairs of R -modules.

If \underline{C} and \underline{K} are categories, then $E_A \varphi = \text{id } A$ for $A \in |\underline{K}|$ and $\varphi \in \underline{C}$, and $E_f \alpha = f$ for $f : A \rightarrow B$ in \underline{K} and $\alpha \in |\underline{C}|$, defines constant functors $E_A : \underline{C} \rightarrow \underline{K}$ and natural transformations $E_f : E_A \rightarrow E_B$, and hence a functor $E : \underline{K} \rightarrow \underline{K}^{\underline{C}}$. If \underline{C} is small, then universal objects of the morphism category $[\underline{K}^{\underline{C}}, \underline{K}^{\underline{C}}, E]$ are colimits of functors $F : \underline{C} \rightarrow \underline{K}$, and couniversal objects of the morphism category $[E, \underline{K}^{\underline{C}}, \underline{K}^{\underline{C}}]$ are limits of functors $F : \underline{C} \rightarrow \underline{K}$.

4.7. Let $F : \underline{K}_0^{\text{op}} \times \underline{K}_1 \rightarrow \underline{\text{Ens}}$ be a functor to the category of sets, and let Σ be a class containing disjoint copies of all sets $F(A_0, A_1)$, $A_i \in |\underline{K}_i|$. For $f_i : A_i \rightarrow B_i$ and $\sigma \in \Sigma$ in $F(B_0, A_1)$, we put

$$\sigma f_0 = F(f_0, A_1)(\sigma), \quad f_1 \sigma = F(B_0, f_1)(\sigma).$$

This defines an action of $(\underline{K}_0, \underline{K}_1)$ on Σ .

Conversely, let us call an action of $(\underline{K}_0, \underline{K}_1)$ on a class Σ legitimate if the classes $\Sigma(A_0, A_1)$, $A_i \in |\underline{K}_i|$, are mutually disjoint sets. A legitimate action induces a functor $F : \underline{K}_0^{\text{op}} \times \underline{K}_1 \rightarrow \underline{\text{Ens}}$ by $F(f_0, f_1)(\sigma) = f_1 \sigma f_0$, for $f_i : A_i \rightarrow B_i$ in \underline{K}_i and σ in $\Sigma(B_0, A_1) = F(B_0, A_1)$. The action of $(\underline{K}_0, \underline{K}_1)$ on Σ induced from F may be a restriction of the given action, but it leads to the same morphism category $[\underline{K}_0, \Sigma, \underline{K}_1]$.

5. Natural transformations. We consider a natural transformation $\lambda :$

$F \rightarrow G$ in a functor category K^Q as a mapping from \mathcal{E} to JG , by putting
 $(\lambda f)(A) = (\lambda B)(P f)$ for $f : A \rightarrow B$ in \mathcal{E} . Then $(\lambda f)(g f)$
 $\gg (Y^a g)(A f)$ if λ is defined in \mathcal{K}^C and $g f$ in \mathcal{E} .

If \mathcal{K} acts on a class X from the left, then \mathcal{K}^C acts from the left on C

the class 21 of all mappings from \mathcal{E} to 21 as follows. For $\langle p f, 2Z \rangle$ and $A : P \rightarrow G$ in \mathcal{K} , we define A^\wedge only if $(P f)(GDA)$ is defined, and equal to $\langle p f \rangle$, for every morphism $f : A \rightarrow B$ of \mathcal{E} . In this case, we put

$$(\lambda \varphi)(f) = (\lambda B)(\varphi f) = (\lambda f)(\varphi A)$$

for $f : A \rightarrow B$ in \mathcal{E} . 2.1.1 and 2.1.2 are easily verified for this action.

An action from the right is lifted in similar fashion, and if $(\mathcal{K}_0, \mathcal{K}_1)$ acts on X , then $(\mathcal{K}_0^C, \mathcal{K}_1^C)$ acts on X^C . Thus a morphism category $\mathcal{J} \mathcal{U}_0^C \mathcal{U}_1^C$ leads to a morphism category $\mathcal{U}_0^C, X^C \mathcal{U}_1^C$, with natural transformations as objects, by the following definition.

Let $(\mathcal{K}_0, \mathcal{K}_1)$ act on X and let $P_i : \mathcal{E} \rightarrow \mathcal{K}_i$ be functors, and let d be a natural transformation from P_0 to P_1 , and write $\langle f \rangle : \mathcal{P} \rightarrow \mathcal{P}$, if $\langle a \rangle$ and $\langle f' \rangle$ are defined in X , i.e. if

$$(\varphi B)(P_0 f) \ll \langle a \rangle f \gg (P_1 f)(\varphi A)$$

in 21 every morphism $f : A \rightarrow B$ in \mathcal{E} .

For the case that $(\mathcal{I}, \mathcal{I})$ acts on \mathcal{K} by composition in \mathcal{I} , this is equivalent to the usual definition of a natural transformation.

Let now functors $U, : K \rightarrow K!$ and a morphism category $M = \mathcal{J} \mathcal{U}_0 \mathcal{U}_1$ be given. One sees easily that we define functors $D_1 : \mathcal{J} \mathcal{U}_0 \mathcal{U}_1$ and a natural

transformation $\eta : U \rightarrow D \rightarrow tL D_n$ by putting

$$\begin{array}{c} \circ \quad \circ \quad \wedge \quad 1 \quad 1 \\ \backslash \quad q \quad \gg \quad f_t \quad \gg \quad 3q \quad * \quad (U_x f^{\wedge cr} * TT(U_0 f_0)) \end{array} ,$$

for every morphism q a $(\wedge, f, \delta f, \Gamma^{-C})$ of M_* .

If $\langle \varepsilon \rangle : \underline{C}, \rightarrow \underline{M}$, is a functor and $B \subset \rho$ the composition mapping, then $\partial \phi : U_0 D_0 \phi \rightarrow U_1 V_1(b \text{ in } \underline{T}^{-C})$. Conversely, we have

Theorem 5.2 « Let $J_1 \gg \& \circ \wedge \varepsilon \wedge \wedge J$ as above » For functors $P : \underline{C} \rightarrow \underline{K}_1$ and a natural transformation $\rho : U_0 F_0 \rightarrow U_1 F_1$ in $\underline{f}j^{-C}$, there is exactly one functor $\langle p : \underline{f} \rightarrow \underline{M}$, such that $F_0 = D_0 \langle J \rangle$, $F_1 \ll D_1 \langle b \rangle$, and $\wedge = \partial \phi$.

This characterizes \underline{M} by a universal property.

Proof. The requirements for ρ can be satisfied only if we put

$$\phi f = (\varphi A, F_0 f, F_1 f, \wedge > B)$$

for $f : A \rightarrow B$ in \underline{C} . Conversely, one sees easily that this defines a functor $\langle \rho : \underline{C}, \rightarrow \underline{M} \rangle$ which meets the requirements.

Remark 5.2 « Let $\# f = \varepsilon U_0^B, X^B \gg D_1^B - J$. Theorem 5.2 defines a bisection between the objects $(F_0, C^{\wedge t F^{\wedge}}$ of $T^{\wedge Z}$ and the objects of \underline{f}^{-C} . We use this to identify a functor $\langle \rho \rangle : \underline{C}, \rightarrow \underline{M}$, with the corresponding object $(D_0 0, \tilde{c} > 0, D_1 \phi)$ of $\wedge 9?T$. The bisection of 5.2 can be extended to a canonical isomorphism of the categories \underline{f}^{-C} and \underline{M}^C but we omit this step.

6. Universal objects and functors. We consider again two functors $U_1 : \underline{K}_1 \rightarrow \underline{K}_1$ and a morphism category $\underline{M} = \underline{J} \circ \underline{J}, 2Z \gg U_1 \wedge J$.

Definition 6.1. An object (A_0, CT, A_1) of \underline{M} is called a universal object of \underline{M} at A_0 if for every object (B_0, T, B_1) of \underline{M} and every morphism $f_0 : A_0 \rightarrow B_0$ of \underline{K} there is exactly one morphism $f_1 : A_1 \rightarrow B_1$ in \underline{K} such that $(cr_{f_1} f_0, f_1, TT) \in M$, i.e. $(ll f_0) \ll TT (U f_0)$ in X . We say that \underline{M} has enough universal objects if \underline{M} has a universal object at every $A_0 \in |K_0|$.

Dually, we call (A_0, cr, A_1) a couniversal object of \underline{M} if (A_0, cr, A_1) is a universal object of \underline{M}^{op} , and we say that \underline{M} has enough couniversal objects if \underline{M}^{op} has enough universal objects.

Proposition 6.2. If (A_0, cr, A_1) is a universal object of \underline{M} , then an object (A_0, cr, A_1) of \underline{M} is universal at A_0 if and only if there is a unique isomorphism $u : A_1 \rightarrow \bar{A}_1$ of \underline{K} in \underline{T} for an isomorphism $u : A_1 \rightarrow \bar{A}_1$ of \underline{K} .

We omit the standard proof of this result.

Theorem 6.3. \underline{M} has enough universal objects if and only if there is a functor $r^* : \underline{K} \rightarrow \underline{M}$ such that $D \ll Id \underline{K}$ and that r^* is a universal object of \underline{M} at A_0 for every $A_0 \in |K_0|$.

We call a functor P with these properties a universal functor for \underline{K} .

Proof. The "if" part is trivial. Conversely, assume that a universal object $(A_0, cr, A_1, P A_1)$ of \underline{M} is given at every $A_0 \in |K_0|$. For $f_0 : A_0 \rightarrow B_0$ in \underline{K} , we put $y f_0 \gg (v/B_0)(U f_0)$ in \underline{T} , and the equation

$$v^{B_0}(U f_0) = / f_0 = \wedge U_1 P f_0 (y A_0)$$

in \underline{T} determines $P f_0 : P A_0 \rightarrow P B_0$ in \underline{K} uniquely. One verifies easily that this defines a functor $P : \underline{K} \rightarrow \underline{K}$ and a natural transformation $y :$

U - x.u.p . and hence by 5.2 a functor $P = (K, V, P) : K \rightarrow M$ with the required properties.

Our next result, which will be needed in section 8, shows that the functor P of the proof of 6.3 is not of some special kind.

Proposition 6.4. Let $P : K \rightarrow K_1$ be a functor. The morphism category $M_P = m[P, K_1, K_1]$ admits a universal functor $F_P \ll (id, j^{\wedge}, id, P, P) : K \rightarrow VL$. Dually the morphism category $W^P = F_j^i(\cdot, \cdot, \cdot, P_i)$ admits a couniversal functor $P^P = (P, id, P, Id, K_0) : K \rightarrow wf$.

Proof. Let (A, id, P, A, P, A) in M_P for $A \in IK, I$, and if $f : A \rightarrow B$ in K and $T : B \rightarrow B$ in K , then $(f, f_n) : id, P, A \rightarrow B$ in M_P iff $f_1 \gg T(P, f_0)$ in K_1 . Thus F_P is a universal functor.

7. Properties of universal functors. We use the same notations as before.

Theorem 7.1. A functor $P = (id, K, \gamma, P) : K \rightarrow M$ is universal if and

only if (T, γ, T, P, T) is a universal object of (U, \sim, γ, U) for every category C and every functor $T : C \rightarrow K$.

Proof. For the "if" part, we need only the case $C = \underline{1}$, identifying functors $T : \underline{1} \rightarrow K$ with objects of K and fu_{-f}^1, U_n^1 with M .

For the "only if" part, let V be a universal functor, let $T : C \rightarrow K$ be given, let fu_{-f}^1, U_n^1 , and let $A : T \rightarrow F$ in K and $A : U_0 F_0 \rightarrow V_1 F_1$ in Z , with $\gamma_{\pm} : C \rightarrow \wedge$. Then $(\gamma, \gamma) : \gamma^T \rightarrow \gamma$ in JT for $A : PT \rightarrow P^1$ in K^1 iff

$$(\varphi_B)(U_0 \lambda_0 f) = (\varphi \cdot U_0 \lambda_0) f = (U_1 \lambda_1 \cdot \gamma^T) f = (U_1 \lambda_1 f)(\gamma^T A)$$

in Σ for every morphism $f : A \rightarrow B$ of \underline{C} . Since $\Gamma^T A$ is a universal object of \underline{M} , this determines $\lambda_1 f : P T A \rightarrow F_1 B$ in \underline{K}_1 uniquely, and a moderate amount of diagram chasing shows that the morphisms $\lambda_1 f$ of \underline{K}_1 define a natural transformation $\lambda_1 : P T \rightarrow F_1$, with $(\lambda_0, \lambda_1) : \gamma^T \rightarrow \varphi$ in \mathcal{M} for this and no other $\lambda_1 : P T \rightarrow F_1$ in $\underline{K}_1^{\underline{C}}$.

Corollary 7.2. \underline{M} has enough universal objects if and only if every morphism category $[U_0^{\underline{C}}, \Sigma^{\underline{C}}, U_1^{\underline{C}}]$ has enough universal objects.

We consider now a second morphism category $\underline{N} = [V_0, \bar{\Sigma}, V_1]$, obtained from functors $V_i : \underline{L}_i \rightarrow \underline{L}'_i$ and an action of $(\underline{L}'_0, \underline{L}'_1)$ on $\bar{\Sigma}$, with functors $\bar{D}_i : \underline{N} \rightarrow \underline{L}_i$ and a natural transformation $\bar{\vartheta} : V_0 \bar{D}_0 \rightarrow V_1 \bar{D}_1$. We call a functor $\Theta : \underline{M} \rightarrow \underline{N}$ a morphism functor if $\bar{D}_i \Theta = T_i D_i$ for functors $T_i : \underline{K}_i \rightarrow \underline{L}_i$. If this is the case, then we put $\Theta = [T_0, \vartheta, T_1]$ for $\vartheta = \bar{\vartheta} \Theta : \underline{M} \rightarrow \bar{\Sigma}$. This mapping ϑ behaves like a natural transformation in two variables. If we write $\vartheta\sigma$ instead of $\vartheta(A_0, \sigma, A_1)$ for an object (A_0, σ, A_1) of \underline{M} , then

$$\Theta(\sigma, f_0, f_1, \tau) = (\vartheta\sigma, T_0 f_0, T_1 f_1, \vartheta\tau)$$

in \underline{N} for a morphism (σ, f_0, f_1, τ) of \underline{M} and $\Theta = [T_0, \vartheta, T_1]$.

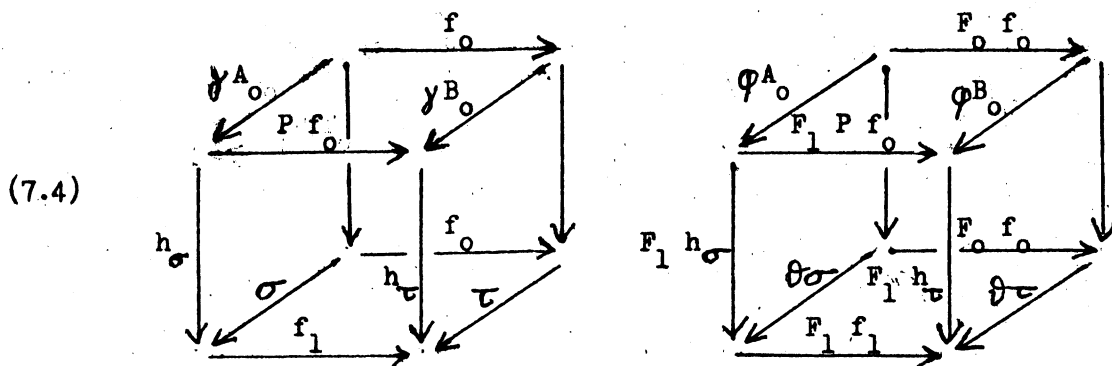
Theorem 7.3. Let $\Gamma = (\text{Id } \underline{K}_0, \gamma, P) : \underline{K}_0 \rightarrow \underline{M}$ be a universal functor, and let $\Phi = (F_0, \varphi, F_1 P) : \underline{K}_0 \rightarrow \underline{N}$ for functors $F_i : \underline{K}_i \rightarrow \underline{L}_i$ and a natural transformation $\varphi : V_0 F_0 \rightarrow V_1 F_1 P$ in $\Sigma^{\underline{K}_0}$. Then $\Phi = \Theta \Gamma$ for a unique morphism functor $\Theta = [F_0, \vartheta, F_1] : \underline{M} \rightarrow \underline{N}$.

Proof. Θ is determined if we know $\vartheta\sigma$ for every object (A_0, σ, A_1) of \underline{M} , with $\vartheta\gamma A_0 = \varphi A_0$. Since γA_0 is a universal object of \underline{M} , we have $(\text{id } A_0, h_\sigma) : \gamma A_0 \rightarrow \sigma$ in \underline{M} for a unique morphism $h_\sigma : P A_0 \rightarrow A_1$ of \underline{K}_1 , and then we must have

$$\Theta(\gamma A_0, A_0, h_\sigma, \sigma) = (\varphi A_0, F_0 A_0, F_1 h_\sigma, \vartheta\sigma)$$

in \underline{N} . Thus we must put $\vartheta\sigma = (v_1 F_1 h_\sigma)(\varphi A_0)$ in \underline{N} .

We have constructed Θ , but does Θ map \underline{M} into \underline{N} ? In order to show that it does, let $(f_0, f_1) : \sigma \rightarrow \tau$ in \underline{M} , with $f_i : A_i \rightarrow B_i$ in \underline{K}_1 . We consider the two cubes (7.4) in which the unmarked arrows are identity mor-



phisms. At left, all faces are commutative squares, except possibly the front face. But γA_0 acts like an epimorphism, and thus the front face at left commutes in \underline{K}_1 , by simple diagram chasing. Now the bottom face at right commutes since all other faces do. Thus Θ maps \underline{M} into \underline{N} , and now one sees immediately that Θ is a functor.

8. Applications. We obtain a representation theorem for morphism categories with enough universal morphisms, and we use this theorem and its dual for some

comments on adjoint functors. We use all previously established notations, including those of 6.4. We call a morphism functor $[T_0, \mathcal{D}, T_1]$ strict if the functors T_i are identity functors. The composition of two morphism functors clearly is a morphism functor, and the composition of two strict morphism functors is strict. $\text{Id } \underline{M} = [K_0, \mathcal{D}, K_1]$ is a strict morphism functor.

Theorem 8.1. A functor $\Gamma = (\text{Id } K_0, \gamma, P) : K_0 \rightarrow \underline{M}$ is a universal functor for \underline{M} if and only if $\Gamma = \Theta \Gamma_P$ for a strict morphism functor $\Theta : \underline{M}_P \rightarrow \underline{M}$ which is an isomorphism of the two categories.

Proof. If a strict morphism functor $\Theta : \underline{M}_P \rightarrow \underline{M}$ is an isomorphism of the two categories, then Θ clearly preserves universal objects, and thus $\Theta \Gamma_P$ is a universal functor for \underline{M} . Conversely, if $\Gamma = (\text{Id } K_0, \gamma, P) : K_0 \rightarrow \underline{M}$ is a universal functor, then $\Gamma = \Theta \Gamma_P$ and $\Gamma_P = H \Gamma$ for unique strict morphism functors $\Theta : \underline{M}_P \rightarrow \underline{M}$ and $H : \underline{M} \rightarrow \underline{M}_P$, by 7.2 and 6.4, and then Θ and H are inverse isomorphisms by a standard argument. (see 6.2).

Consider now functors $T : K_1 \rightarrow K_0$ and $S : K_0 \rightarrow K_1$. These define morphism categories $\underline{M}_S = [S, K_1, K_1]$ and $\underline{M}^T = [K_0, K_0, T]$, as well as a universal functor $\Gamma_S : K_0 \rightarrow \underline{M}_S$ and a couniversal functor $\Gamma^T : K_1 \rightarrow \underline{M}^T$.

Proposition 8.2. A functor $T : K_1 \rightarrow K_0$ has a left adjoint functor $S : K_0 \rightarrow K_1$ if and only if $\underline{M}^T = [K_0, K_0, T]$ has enough universal morphisms.

Proof. By 6.3, \underline{M}^T has enough universal morphisms iff there is a universal functor $\Phi = (\text{Id } K_0, \varphi, S) : K_0 \rightarrow \underline{M}^T$, i.e. there is a functor $S : K_0 \rightarrow K_1$ and a natural transformation $\varphi : \text{Id } K_0 \rightarrow T S$ such that $(A_0, \varphi A_0, S A_0)$ is

a universal object of \underline{M}^T for every $A_0 \in |\underline{K}_0|$. But this means precisely that φ is a front adjunction for T .

Remark 8.3. We consider the adjoint situation of 8.2 further. We have seen that a front adjunction $\varphi : \text{Id } \underline{K}_0 \rightarrow T S$ for T corresponds to a universal functor $\Phi = (\text{Id } \underline{K}_0, \varphi, S)$ for \underline{M}^T . By 8.1, this functor satisfies $\Phi = \Theta \Gamma_S$ for a strict morphism functor $\Theta : \underline{M}_S \rightarrow \underline{M}^T$. One sees easily that this functor Θ corresponds to an adjunction in the usual sense. By the dual of 8.1, $\Theta^{-1} \Gamma^T$ is a couniversal functor $\Psi = (T, \psi, \text{Id } \underline{K}_1)$ for \underline{M}_S , and this means that $\psi : S T \rightarrow \text{Id } \underline{K}_1$ is a back adjunction for S . Thus our theory furnishes a very simple connection between the three aspects of an adjoint situation.

R e f e r e n c e s

- [1] J. Bénabou, Lectures at the University of Chicago, Summer 1967.
- [2] C. Ehresmann, Catégories et structures. Paris, 1965.
- [3] I. Fleischer, Sur le problème d'application universelle de M. Bourbaki. C. R. Acad. Sci. Paris 254, 3161 - 3163 (1962).

CARNEGIE - MELLON UNIVERSITY