

CAUCHY SPACES I
UNIFORMIZATION THEOREMS

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we present the definitions of the various structures to be discussed here (limit structures, uniform limit structures, and Cauchy structures) along with a general theory of such structures. The various functors connecting these structures are given in section 4, and there we also present the adjointness relations holding between these functors. Section 5 contains a list of axioms describing separation, uniformity, and regularity properties in a limit structure. The various connections among these axioms are also given there. After the uniformization theorems of section 6 we tie together these results with those of Kowalsky [9], who first studied Cauchy filters axiomatically.

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2. Preliminaries

In a category C we use the notation $C[A,B]$ for the set of all maps from the object A to the object B . If C_1 and C_2 are two categories with functors $T : C_1 \rightarrow C_2$ and $S : C_2 \rightarrow C_1$ we say that T is an adjoint¹ for S , denoted $T \dashv S$, iff there is a natural equivalence between $C_2[TA,B]$ and $C_1[A,SB]$ for each $A \in C_1$ and each $B \in C_2$. Note that we omit parenthesis wherever possible so that $T(A)$ becomes TA .

1. The terminology "adjoint"¹¹ is not standard and we have defined an adjoint in the sense of Freyd [8], but a coadjoint in the sense of Mitchell [10]. Our definition is the standard definition of "left adjoint."¹¹

As a special case of the preceding definition, suppose C_2 is a subcategory of C_1 and S is the imbedding functor. If T is an adjoint for S , then T is called a reflection and C_2 is a reflective subcategory of C_1 (cf. [11, section 4]). Examples of reflective subcategories are the compact Hausdorff topological spaces in the category of topological spaces (by the Stone-Cech compactification functor) and the complete, regular T_1 Cauchy spaces in the category of Cauchy spaces (see [11, section 6]).

Turning now to filters, let (S, \leq) be a pre-ordered set; \leq is a reflexive and transitive relation on S . If B is a subset of S , we shall consider B to be pre-ordered, its order being inherited from S , and we shall suppress specific mention of the order on B . Given a subset B of S we define the

initial closure of $B \ll B^- = \{s \in S : \exists b(b \in B \ \& \ s \wedge b)\}$

and terminal closure of $B \ll B^+ = \{s \in S : \exists b(b \in B \ \& \ b \wedge s)\}$.

The set B is called initial iff $B \ll B^-$, and B is terminal iff $B \ll B^+$. B is called a filter base in S iff every finite subset of B has a lower bound in B . A filter is a filter base B such that $B \ll B^+$. We may obtain the notions dual to these by replacing \leq by its dual order in the definitions. Thus, for example, a dual filter is a subset D of S such that finite subsets of D are bounded above in D , and $D \ll D^-$. We note that if B is a filter base (resp. dual filter base), then B^* (resp. B^-) is the filter (resp. dual filter) generated by B .

The set of all filters in S may be partially ordered by saying that \mathfrak{f} is finer than \mathfrak{g} , denoted $\mathfrak{f} \$ \mathfrak{g}$, for two filters \mathfrak{F} and \mathfrak{g} iff $\mathfrak{f} \cap \mathfrak{g}$ as sets. Dually, we may partially order the dual filters in S by saying that D_1 is finer than D_2 , again denoted $D_1 \wedge D_2$, iff

D_1, C, D_2 as **sets**. We prefer to consistently use $<c$ as the "finer than"¹¹ symbol since it leads to a quite manageable formalism (cf. Kowalsky [9] **and** also the formulas for meet and join below).

We now suppose S to be a complete lattice with least element 0 . The filter $\{0\}^+$ is called the null filter and all other filters in S will be called proper filters. The unmodified word "filter"¹¹ will then always refer to a proper filter. The set of all filters of S , including the null filter, is a complete lattice with the join and meet of the filters \underline{J} and $\underline{\wedge}$ given by

$$\underline{J} \{ \mathcal{F}, \mathcal{G} \} = \{ F \vee G : F \in \mathcal{F} \text{ and } G \in \mathcal{G} \}$$

$$\text{and } \underline{\wedge} \{ \mathcal{F}, \mathcal{G} \} = \{ F \wedge G : F \in \mathcal{F} \text{ and } G \in \mathcal{G} \}.$$

We note that $\underline{J} \{ \mathcal{F}, \mathcal{G} \}$ may well be the null filter even if \mathcal{F} and \mathcal{G} are proper filters.

More specifically, we shall often be dealing with filters on a fixed set E . In this case, S is the power set of E , ordered by inclusion, and filters \mathcal{F} on E are said to be filters on E . The set of all (proper) filters on E will be denoted $\mathcal{F}E$. Given a point $x \in E$ we shall denote the filter $\{U_x\}^+$ by x^+ , and we let $\mathcal{D}E = \{x^+ : x \in E\}$. Given two filters \mathcal{F} and \mathcal{G} on E we let $\mathcal{F} \times \mathcal{G}$ denote the filter on $E \times E$ generated by $\{F \times G : F \in \mathcal{F} \text{ and } G \in \mathcal{G}\}$. If \mathcal{M} and \mathcal{N} are filters on $E \times E$, we let $\mathcal{M}^{-1} = \{M^{-1} : M \in \mathcal{M}\}$ and $\mathcal{M} \circ \mathcal{N} = \{M \circ N : M \in \mathcal{M} \text{ and } N \in \mathcal{N}\}^+$ where $M^{-1} = \{(y, x) : (x, y) \in M\}$ and

$$\mathcal{M} \circ \mathcal{N} = \{(x, z) \in E \times E : \exists y (y \in E \text{ \& } (x, y) \in M \text{ \& } (y, z) \in N)\}.$$

If f is a mapping from the set E to some other set E^f , we let $f \mathcal{F} = \{f(F) : F \in \mathcal{F}\}^+$ for a filter \mathcal{F} on E . The proofs of the following lemmas are omitted.

Lemma 2.1 If \mathcal{F} and \mathcal{G} are filters on E and $f : E \rightarrow E^f$, then $f(1 \vee \mathcal{G}) \ll f\mathcal{F} \vee f\mathcal{G}$, and for any $x \in E$, if $y \ll \cdot f(x)$, then $\dot{y} \ll f\dot{x}$.

Lemma 2.2 \mathcal{X} , \mathcal{Y} , \mathcal{Z} , and \mathcal{W} are filters on $E * E$, then

Given a filter \mathcal{F} on E , we shall frequently be referring to the filter $\mathcal{F} * \mathcal{F} = (\mathcal{F} * \mathcal{F}) \vee \mathcal{A}$ where \mathcal{A} is the diagonal of $E * E$. We have the following result involving filters of this sort.

Lemma 2.3 For filters \mathcal{F} and \mathcal{G} on E , $\mathcal{F} * \mathcal{G} \ll \mathcal{F} * \mathcal{F} \vee \mathcal{G} * \mathcal{G}$.
 Moreover, if $\mathcal{F} \wedge \mathcal{G}$ is the null filter, then $\mathcal{F} * \mathcal{G} \ll \mathcal{F} \vee \mathcal{G}$.

3. Limit spaces, uniform spaces, and Cauchy spaces

Definition 3.1 Let E be a set and q a subset of $E \times E$ satisfying:

- $\text{Lim}_1 : (x, x) \in q$ for each $x \in E$
- $\text{Lim}_2 : (f, x) \in q$ and $\mathcal{G} \leq \mathcal{F} \rightarrow (f, x) \in q$
- $\text{Lim}_3 : (f, x) \in q$ and $(g, x) \in q \rightarrow (f \vee g, x) \in q$,

Then q is called a limit² structure on E and (E, q) is a limit² space.

We shall frequently use the usual notation for such a binary relation, writing $f q x$ instead of $(f, x) \in q$, and saying " f q -converges to x ",^{fl} or simply, " \mathcal{F} converges to x "^{fl} if there is no confusion.

2. Limit spaces are called Limesräume in [7,9] and convergence spaces in [1,3,A]. The term "convergence space" in [12] and elsewhere is preserved for structures q which need not satisfy condition Lim_1 .

Definition 3,2 Let E be a set and J a dual filter of filters on $E \times E$ satisfying:

$$\text{Uni}_1 : \{A\}^+ \in J$$

$$\text{Uni}_2 : * \in J \gg S^{-1} \in J$$

$$\text{Uni}_3 : \$, \mathbb{Y} \in J \text{ and } * \circ f \text{ not the null filter } \Rightarrow \$ \circ \mathbb{Y} \in J.$$

Then J is called a uniform limit³ structure on E and (E, J) is a uniform limit³ space.

Definition 3,3 Let E be a set and C a collection of filters on E satisfying:

$$\text{Cau}_1 : _D \in C$$

$$\text{Cau}_2 : C^+ \wedge C'' - C$$

$$\text{Cau}_3 : f, g, h \in C \text{ and } _J f \wedge g \text{ and } _F f _H \ll _G \vee h \in C,$$

Then C is called a Cauchy structure on E and (E, C) is a Cauchy space.

While any topological space defines a limit space, convergence of Mikusifiski operators, and convergence a.e. in the space of measurable real valued functions on $[0,1]$ give rise to limit spaces which are not topological (see [13] and [9]). Those uniform limit structures which are principal (i.e., for which there exists a filter \wedge in J such that $\bullet \in J$ iff $\$ \in \mathbb{Y}$) have been characterized by Cook and Fischer [A, Theorem 6] as being equivalent to the uniform structures of Bourbaki [2]. Examples of uniform limit structures which are not uniform structures will be plentiful after the uniformization theorem (6.12). The Cauchy filters of any uniform limit space form a Cauchy structure, and again examples will become apparent in section 6.

3. The terminology is chosen to agree with that used in Definition 3.1 and it differs from that of [4].

In order to provide a unified theory for the three types of structures defined above we note that in each case we have the following situation:

- 3.4 There is a functor E from sets to sets.
- 3.5 To each set E we associate a collection oE of subsets of EE (called "structures" on E), with $00 \cdot \{E0\}$.
- 3.6 aE is closed under set intersection. Therefore oE is a complete lattice when ordered by inclusion, and $EE \in aE$.
- 3.7 If $f : E_1 \rightarrow E_2$ is a mapping and $S_2 \in oE_2$, then $f^*(S_2) = \{s \in EE_{E_1} : (Ef)(s) \in S_2\} \in oE_1$.

In the special three structures defined above we have:

For limit spaces,

$$EE = \underline{FE} \times E$$

$$(Ef)(\underline{F}, x) = (f\underline{F}, fx)$$

oE is the set of limit structures on E .

For uniform limit spaces,

$$EE = \underline{F}(E \times E)$$

$$(Ef)(\underline{F}) = \{fM : M \in * \}^+ \text{ where } fM = \{(fx, fy) : (x, y) \in M\}$$

aE is the set of uniform limit structures on E .

For Cauchy spaces,

$$EE = \underline{FE}$$

$$(Ef)(\underline{F}) = f\underline{F}$$

aE is the set of Cauchy structures on E .

The proofs of 3.6 and 3.7 are routine for each of the three types of structures we are considering, and are omitted.

Given $f : E_1 \rightarrow E_2$ we see that we may define $of = f^* : aE_2 \rightarrow aE_1$

by 3.7. It is easily verified that f^* defines a contravariant functor from the category of sets to the category of complete ordered sets (not to complete lattices, however, since f^* need not preserve joins).

We may define a dual to f^* by letting

$$f_* \langle s \rangle = \{ s \in S_1 : \exists s_2 \in S_2 \text{ such that } f(s_2) = s \}.$$

The following properties are almost immediate:

$$3.8 \quad f^*(f_*(S_1)) \subseteq S_2 \text{ and } f_*(f^*(S_2)) \subseteq S_1.$$

$$3.9 \quad (gf)^* \supseteq g^* f^* \text{ if } gf \text{ is defined, and } (1_E)^* \supseteq 1_{O_E}.$$

$$3.10 \quad f^* \text{ preserves intersections, and } f_* \text{ preserves unions.}$$

$$3.11 \quad f^*(f_*(S_2)) \supseteq S_2 \text{ and } f_*(f^*(S_1)) \supseteq S_1.$$

$$3.12 \quad f^*(f_*(f^*(S_2))) = f^*(S_2) \text{ and } f_*(f^*(f_*(S_1))) = f_*(S_1).$$

Property 3.8 may be proven directly from the definitions. 3.9 follows from 3.8 and the contravariant character of f^* . The remaining properties again follow directly from 3.8.

Two other properties to be used in Cauchy Spaces II are:

$$3.13 \quad f \text{ surjective} \Rightarrow f_* \text{ surjective and } f^* \text{ injective}$$

$$f \text{ injective} \Rightarrow f^* \text{ surjective and } f_* \text{ injective.}$$

$$3.14 \quad f^*(f_*(S_2)) = S_2 \text{ if } f \text{ is surjective, and } f_*(f^*(S_1)) = S_1 \text{ if } f \text{ is injective.}$$

To show the first half of 3.13, we recall that if f is surjective, then $f \circ l_p = l_{h_2}$ for some mapping g . But then $g^* f^* \supseteq f^* g_* \supseteq 1_{aE_2}$, which gives the desired conclusion, and the second implication is proven dually, except in the case $E^* \neq 0$, and there we use the convention noted in 3.5. Property 3.14 then follows from 3.13 and 3.12.

Definition 3.15 Given a function $f : E^* \rightarrow E_*$ between two sets and

given $S_1 \in \mathcal{O}E_1$, $S_2 \in \mathcal{O}E_2$, we say that f is $S^{\wedge} S_2$ -continuous (just "continuous"¹¹ if there is no confusion) iff $S^{\wedge} f^*(S_2)$ and we usually write $f : (E_1, S_1) \rightarrow (E_2, S_2)$. In the case $E^{\wedge} \ll E_2 - E$, the identity map $1_E : (E, S) \rightarrow (E, S_2)$ is continuous iff $S^{\wedge} C S_2$, and in this case we say that S_1 is finer than S_2 (equivalently, S_2 is coarser than S^{\wedge} , denoted $S_1 \ll S_2$).

From the properties above we see that we may consider the category of "spaces"¹¹ where the objects are ordered pairs (E, S) , with E a set and $S \in \mathcal{O}E$, and the mappings are continuous functions. We can define a subspace of (E, S) to be a pair (E^1, S^f) with $E^1 \subset E$ and $S^f \subset j^*(S)$ where j is the inclusion mapping from E^1 into E . Dually, a quotient space of (E, S) is an ordered pair $(E'', S^{f'})$ with E'' a quotient set of E and $S'' \subset q^*(S)$ where $q : E \rightarrow E''$ is the quotient map.

Given a subset A of E we let $[A]$ denote the structure generated by A , i.e., $[A] = \{S : S \in \mathcal{O}E \text{ and } A \subset S\}$. Since we frequently deal with the generator of a structure we will need the following lemma.

Lemma 3.16 If $f : E \rightarrow E^f$ is a mapping and $A \subset E$, then $f^*[A] = [(Zf)(A)]$. Thus, $f : (E, [A]) \rightarrow (E^f, S^f)$ is continuous iff $(If)(A) \subset S^f$.

Proof. $[(If)(A)] \wedge S^f \ll (If)(s) \in S^f$ for all $s \in A \ll A \subset f^*(S^f) \ll [A] \wedge f^*(S^f)$.

Proposition 3.17 For mappings $f : E \rightarrow E^{ff}$ and $g : E'' \rightarrow E^f$, $S \in \mathcal{O}E$ and $S^f \in \mathcal{O}E^f$, the following are equivalent:

- $gf : (E, S) \rightarrow (E^{ff}, S^{ff})$ is continuous;
- $f : (E, S) \rightarrow (E'', g^*(S^f))$ is continuous;
- $g : (E^{ff}, f^*(S)) \rightarrow (E^f, S^f)$ is continuous;
- $f^*(S) \wedge g^*(S^f)$.

Proof. Use Definition 3.15 and properties 3.8 and 3.9.

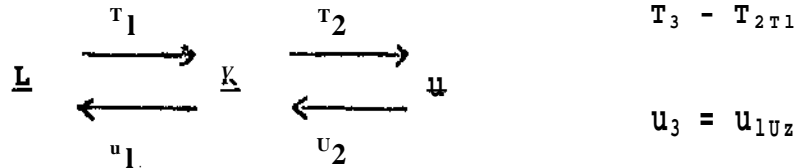
A « The Functors, U and T

Limit spaces, uniform limit spaces, and Cauchy spaces form the objects of three categories as we saw in section 3. We denote these categories by \underline{L} , \underline{U} , and \underline{K} respectively. The maps of these categories are in each case the continuous functions where continuity is given in Definition 3.15.

Definition 4.1 Let (E, q) be a limit space, (E, J) be a uniform limit space, and (E, C) be a Cauchy space. We make the following definitions:

- $U: J \rightarrow C$ - $\{F \in FE : F * F \in J\}$
- $U: C \rightarrow J$ - $\{(F, x) \in FE * E : F \vee x \in C\}$
- $T_2 C$ * the uniform limit structure on E generated by $\{*_F : F \in C\}$
- $T_1 q$ « the Cauchy structure on E generated by $\{F \vee x : (F, x) \in q\}$

It is easily verified that with these definitions we may define functors l_1, U_j, T_j , and T_2 between categories in accordance with the following diagram:



We have $l_i(E, S) = (E, U_i S)$ and $T_i(E, S) = (E, T_i S)$ for $i = 1, 2$ and $U_i(f) = T_i(f) = f$ for $f : (E_1, S_1) \rightarrow (E_2, S_2)$.

Theorem A 2. As defined above, $T_i = U_i$ for $i = 1, 2, 3$.

Proof. For $i = 1$, suppose $f : T_1(E, q) \rightarrow (F, C)$ is a function. Then

f is continuous iff whenever $\mathcal{F} \dot{q} x$ and $y = f(x)$ we have $f(\mathcal{F} \vee \dot{x}) \ll f_{\mathcal{F} \vee \dot{y}} \in C$ and this happens iff $f : (E, \mathcal{q}) \dashv^* U_1(F, C)$ is continuous. For $i \ll 2$, suppose $f : T_2(E, C) \dashv^* (F, J)$ is a function. Then f is continuous iff for each $\mathcal{F} \in C$ we have $\mathcal{F} \dot{q} x \mathcal{F} \dot{q} y = f(\mathcal{F} \dot{x}) \in J$ and this happens iff $f : (E, C) \dashv^* U_2(F, J)$ is continuous. The case $i = 3$ is immediate from the preceding two cases.

Propollary 4*3 For structures A and B on the set E , and for $i = 1, 2, 3$ we have:

- (a) $T_+A \dot{q} B \ll \gg A \dot{q} U_+B$
- (b) $T_+U_+B \dot{q} B \text{ atvd } A \dot{q} U_+T_+A$
- (c) $A \ll U_+B$ if and only if $A \ll V_+T_+k$.

Proof, (a) follows from the definition of adjoint, and (b) and (c) follow immediately from (a).

5. Axioms for Separation, Uniformity, and Regularity

It is useful to digress somewhat and to provide a list of axioms that may hold in a limit space (E, \mathcal{q}) . So let \mathcal{F} and \mathcal{G} be two filters on E and let x, y , and z be points of E .

- TQ : $\dot{x} \dot{q} y$ and $\dot{y} \dot{q} x \dot{*} \gg x \dot{*} y$
- T^{\wedge} : $\dot{x} \dot{q} y \dot{*} \gg x \ll y$
- T^{\wedge}_{-} : $\mathcal{F} \dot{q} x$ and $\mathcal{F} \dot{q} y \dot{*} \gg x \dot{*} y$
- $5Q$: $\mathcal{F} \dot{q} y$ and $\dot{x} \dot{q} y \gg \mathcal{F} \dot{q} x$
- $s^{\dot{i}}_{\dot{i}}$: $Z \dot{q} y$ and $\mathcal{F} \dot{q} y$ and $\mathcal{F} \dot{q} x \Rightarrow Z \wedge x$
- RQ : $z \dot{q} y$ and $z \dot{q} x \gg x \dot{q} y$
- R_{\perp} : $\mathcal{F} \dot{q} x$ and $\mathcal{F} \dot{q} y \ll \gg x \dot{q} y$

$$R_2 : \{x \in E : \exists \{F \in \mathcal{F} : x \in \bigcap F\}\}$$

where, in axiom R_2 , $\mathcal{F} = \{F \in \mathcal{F} : x \in \bigcap F\}$ and

$$\mathcal{F} = \{z \in E : \exists \{G \in \mathcal{F} : z \in \bigcap G \text{ and } F \in G\}\}.$$

The first three axioms are readily recognized as being the usual separation axioms from general topology expressed in convergence form. Axioms S_0 and S_1 express uniformity conditions and will be used in section 6. The R axioms are those of Davis [6]. We note that R_2 is the usual separation axiom T_3 , relabeled by Davis in view of its connection with R_1 and R_0 to be given below. Biesterfeldt [1] has shown that axiom R_2 is equivalent to the regularity condition of Cook and Fischer [5]. We remark that axiom R_0 implies that the relation R defined on E by $x R y \Leftrightarrow \dot{x} q y$ is an equivalence relation.

Proposition 5.1

$$\begin{array}{ccc}
 T_2 & \cdot & T_1 & \cdot & T_0 & & R_0 & \text{and} & T_0 & \rightarrow & T_x \\
 & & & & & & & & & & \\
 S_1 & \rightarrow & S_0 & & & & R_1 & \text{and} & T_0 & \rightarrow & T_2 \\
 \downarrow & & \downarrow & & & & & & & & \\
 R_2 & \Leftrightarrow & R_1 & \Leftrightarrow & R_0 & & R_1 & \text{and} & S_0 & \rightarrow & S_1
 \end{array}$$

Proof. The only implications not immediate from the definitions are given here. First, $S_0 \Rightarrow R_0$, for suppose S_0 to be satisfied and that $\dot{z} q y$ and $\dot{z} q x$. Since $\dot{x} q x$ and $\dot{y} q y$ we have $\dot{x} q z$ and $\dot{y} q z$ by S_0 . Another application of S_0 gives $\dot{x} q y$ so that R_0 holds. Secondly, $R_2 \Rightarrow R_1$, for if q satisfies R_2 , then whenever $\dot{f} q x$ we also have $\dot{x} \in \mathcal{F}$ (since for each $IT \in \mathcal{F}$ we know $x \in IT$ because $IT q x$). Now suppose that $\dot{f} q x$ and $\dot{f} q y$ (to show: $\dot{x} q y$). By R_2 we know $IT q y$ and since $\dot{x} \in IT$ we have $\dot{x} q y$. We omit constructions of counterexamples to absent implications, noting however that in a topological space we do have $R_2 \Rightarrow S_1$.

6.1 Uniformization Theorems

Proposition 6.1. Let (E, C) be a Cauchy space. Then U^C is a limit structure on E satisfying axiom SQ .

Proof. Suppose $f \dot{v} \dot{y}$ and $\dot{x} \dot{v} \dot{y}$ are in C . By condition Cau_1 of the definition of a Cauchy structure, since $\dot{y} \dot{\$} f \dot{v} \dot{y}$ and $\dot{y} \dot{\$} \dot{x} \dot{v} \dot{y}$, we see that $f \dot{v} \dot{x} \dot{v} \dot{y} \in C$. But since $\dot{x} (\dot{_} \dot{v} \dot{x} < \dot{_} \dot{v} \dot{x} \dot{v} \dot{y}$, we have $f \dot{v} \dot{x} \in C$, by Cau_2 .

Proposition 6.2. If q is a limit structure on E satisfying axiom SQ then $T^q \ll \{f \dot{v} \dot{x} : f \dot{q} \dot{x}\}$, rather than just being generated by this set.

Proof. We show that $\{f \dot{v} \dot{x} : f \dot{q} \dot{x}\}$ is a Cauchy structure. Cau_1 and Cau_2 are obviously satisfied and so we proceed to verify Cau^A . Suppose $f \dot{q} \dot{x}$, $\dot{g} \dot{q} \dot{y}$, and $\dot{h} \dot{q} \dot{z}$ with $\dot{_} \dot{v} \dot{x} \dot{\wedge} \dot{g} \dot{v} \dot{y}$ and $\dot{_} \dot{v} \dot{x} \dot{\$} \dot{h} \dot{v} \dot{z}$. Then $\dot{x} \dot{\wedge} \dot{g} \dot{v} \dot{y}$ and hence $\dot{x} \dot{q} \dot{y}$. Similarly $\dot{x} \dot{q} \dot{z}$ and thus by axiom S_{A_j} , $\dot{g} \dot{q} \dot{x}$ and $\dot{h} \dot{q} \dot{x}$. Now also we know that $\dot{y} \dot{q} \dot{y}$ and $\dot{z} \dot{q} \dot{z}$ so, by axiom SQ , $\dot{y} \dot{q} \dot{x}$ and $\dot{z} \dot{q} \dot{x}$. Hence $(\dot{g} \dot{\wedge} \dot{h} \dot{v} \dot{y} \dot{v} \dot{z}) \dot{q} \dot{x}$ and thus $\dot{g} \dot{v} \dot{h} \dot{v} \dot{y} \dot{v} \dot{z} \dot{v} \dot{x}$ is in the set $\{\dot{_} \dot{v} \dot{x} : f \dot{q} \dot{x}\}$ and by condition Cau_2 we easily obtain $\dot{g} \dot{v} \dot{h} \dot{v} \dot{y} \dot{v} \dot{z}$ is in this set also.

Theorem 6.3. Let (E, q) be a limit space. There exists a Cauchy structure C on E such that $U^C \bullet \bullet q$ iff q satisfies axiom S_n .

Proof. Proposition 6.1 shows the condition to be necessary. Sufficiency is a result of Proposition 6.2 and Corollary 4.3.

Proposition 6.4. Let (E, J) be a uniform limit space. Then $U^J \bullet (U^J)^-$.

Proof. Suppose $\dot{g} \dot{f} \dot{f}$ for $\dot{f} \dot{e} U^J$. Then $\dot{f} \dot{x} \dot{f} \dot{e} J$ and since J

is a dual filter we see that $f \times f \in J$ so that $f \in U_2J$.

Definition 6.5 A Cauchy structure C on a set E is said to be uniformizable iff there exists a uniform limit structure J on E such that $C \sim U_2J$.

Theorem 6.6 For a Cauchy space (E, C) the following conditions are equivalent!

- (a) C is uniformizable;
- (b) $C \sim$ is a Cauchy structure;
- (c) $\forall F \vee G (F, G \in C \text{ and } F \wedge G \in W^* \Rightarrow F \vee G \in C)$.

Proof* (a) \Rightarrow (b) follows from Proposition 6.4 and the remarks which follow Definition 4.1. For (b) \Rightarrow (c), suppose $f, g \in C$ and that $f \wedge g$ is not the null filter. Then $f \wedge g \in C \sim$ and $f \wedge g \in Z \Rightarrow f \in Z$. Since $C \sim$ is a Cauchy structure we know that $\bigvee f \in C \sim$ and then an application of condition Cau_2 gives us $\bigvee f \in C$. To show that (c) \Leftrightarrow (a), we note that the set $\{ \bigvee_{i=1}^n F_i ; n \text{ finite and } F_i \in C \}$ forms a dual filter basis

of the uniform limit structure T_2C under the hypothesis of (c) by Lemma 2.3. We will show that the Cauchy filters of T_2C are those of C

(i.e., that $U_2T_2C = C$). By Corollary 4.3 we know that $C \wedge U_2T_2C$ so that if $f \in C$ then f is finer than a filter of U_2T_2C , and hence $f \in U_2T_2C$ since this structure is initial. So suppose f is a Cauchy filter of T_2C , i.e., $H \times f \in \bigvee_{i=1}^n F_i$ for a finite number of filters F_1, \dots, F_n

in C . Since $\bigvee_{i=1}^n F_i \in C$ by Lemma 2.3, we may replace $\bigvee_{i=1}^n F_i$ by $\bigvee_{i=1}^n F_i$

by $\bigvee_{i=1}^n F_i$ if $F \wedge F_i$ is a proper filter and maintain the same form

(for in this case, $\bigvee_{i=1}^n F_i \in C$ by (c)). So now suppose that $\bigvee_{i=1}^n F_i \in Z$ is

null filter for all i, j . Then there exist n sets $A_1 \in \mathcal{J}, \dots, A_n \in \mathcal{J}'$ which are pairwise disjoint and there exists a set $F \in \mathcal{F}$ such that $F \times F \subseteq \bigcup_{1 \leq j \leq n} (A_j \times A_j) \cup A$. But if $F \times F \subseteq A$ then $F \ll \{x\}$ for some $x \in E$ and then $\mathcal{F} \ll x \in C$, so that $\mathcal{F} \in C^*$. If $F \times F \cap A$ then $F * F \subseteq \bigcup_{1 \leq j \leq n} (A_j \times A_j)$ and hence $F \subseteq A_i$ for some particular i . It is now easy to show that $\mathcal{F} \in C_i$ so that $\mathcal{F} \in C^*$ in this case as well.

Corollary 6.7 If E is a finite set and C is a Cauchy structure on E , then C is uniformizable.

Proof. We show that condition (c) of the previous theorem is satisfied. So let $\mathcal{F}, \mathcal{G} \in C$, and let $\mathcal{H} \ll \mathcal{F} \wedge \mathcal{G}$. We suppose \mathcal{H} is a proper filter and we let $H^f = \{H : H \in \mathcal{H}\}$. By the filter property (since there are only finitely many sets in the power set of E), $H^f \neq \emptyset$, and so let $x \in H^f$. Then we have $x \in H^f \subseteq \mathcal{F}$ and $x \in H^f \subseteq \mathcal{G}$, so that by the condition Cau_3 we have $\mathcal{F} \vee \mathcal{G} \in C$.

We now wish to investigate conditions on a limit space (E, q) under which there is a uniform limit structure J on E with $U^J \circ q$. In such a case we say that q is uniformizable.

Proposition 6.8 If J is a uniform limit structure on E then

$$U_3^J * U(\mathcal{F}, x) : \mathcal{F} \times \mathcal{F} \in J(, ,$$

Proof. It suffices to prove $\mathcal{F} \times \mathcal{F} \in J$ iff $(\mathcal{F} \vee \mathcal{F}) \times (\mathcal{F} \vee \mathcal{F}) \in J$. Since $\mathcal{F} \times \mathcal{F} \subseteq (\mathcal{F} \vee \mathcal{F}) \times (\mathcal{F} \vee \mathcal{F})$ and J is a dual filter we have the "if" part. Conversely, $(\mathcal{F} \vee \mathcal{F}) \times (\mathcal{F} \vee \mathcal{F}) \ll (\mathcal{F} \times \mathcal{F}) \vee (\mathcal{F} * \mathcal{F}) \vee (\mathcal{F} \times \mathcal{F}) \vee (\mathcal{F} \times \mathcal{F})$ and if $\mathcal{F} \times \mathcal{F} \in J$ we easily have the four filters on the right in J by applying conditions $\text{Uni}_1, \text{Uni}_2$, and Uni_3 of Definition 3.2.

We remark that this proposition shows the functor U_3 to be the same

as that (implicitly) defined by Cook and Fischer [A, Theorem 1].

Proposition 6.9 If (E, J) is a uniform limit space, then the limit structure $U^{\wedge} J$ satisfies axiom S^{\wedge} .

Proof. Suppose $f \times \dot{y}$, $(\dot{x} \times \dot{y})$, and $\underline{G} \times \dot{x}$ are in J . By condition Uni_2 , we have $\dot{y} \times f \in J$ and then $f \times \dot{x} - (f \times y) * (\dot{y} \times \underline{G}) \ll \dot{x}$, which is in J by condition Uni_3 .

Lemma 6.10 Suppose C is a Cauchy structure on E and that C^{\sim} is also a Cauchy structure (cf. Theorem 6.6), Then $ILC - U(C^{\sim})$.

Proof. $\forall \bar{y} \in C^{\sim} \gg \{(1, x) : f \forall x \in C\} - \{(\bar{F}, x) : f \forall x \in \bar{F}^f, \text{ for some } \bar{F}^f \in C\} - U^{\perp}(\langle T \rangle)$.

Lemma 6.11 If Q is a limit structure on E satisfying axiom S^{\perp} , then $(T^{\perp} q)^{\sim}$ is a Cauchy structure on E .

Proof. Since q satisfies axiom S^{\perp} , and hence S^{\wedge} , $T^{\perp} q = \{f \forall x : f \times x\}$ and this set is a Cauchy structure by 6.2. We note that $(T^{\perp} q)^{\sim}$ is then the set of all filters which q -converge to some point of E . Conditions Cau^1 and Cau^2 obviously hold for $(T^{\perp} q)^{\sim}$ and so for Cau^3 suppose $f \in \bar{F}$ and $\bar{F} \wedge H$ for convergent filters $\bar{F} \times x$, $\bar{G} \times y$, and $\bar{H} \times z$. Then $\bar{F} \times y$ and $\bar{F} \times z$ so that $\bar{G} \wedge \bar{H} \times z$ by axiom S^{\perp} . Hence $\bar{G} \vee \bar{H}$ converges to z by Lim^{\perp} and $\bar{G} \vee \bar{H} \in (T^{\perp} q)^{\sim}$.

Theorem 6.1 A limit structure q on a set E is uniformizable iff q satisfies axiom S^{\perp} .

Proof. Necessity follows from Proposition 6.9. For sufficiency, if q satisfies S^{\perp} , then $T^{\perp} q$ is uniformizable by Lemma 6.11 and Theorem 6.6. So $(T^{\perp} q)^{\sim} \gg U^{\wedge} J$ for some uniform limit structure J . Applying the functor U^{\perp} we have $U_1((T^{\perp} q)^{\sim}) = U^{\perp} U^{\wedge} J$ and by Lemma 6.10 $U_1(T^{\perp} q)^{\sim} = U_1(U^{\perp} U^{\wedge} J) = U^{\perp} U^{\wedge} J \ll U_3 J$. By Theorem 6.3, $q = \wedge \{U_1^{\perp} \wedge\}$ and so we have $q = U^{\perp} U^{\wedge} J$.

We remark that Corollary 4.3 allows us to assert that $q \ll \bigcup_j T^j q$.

Corollary 6.13 If the limit structure q on a set E satisfies the $8^{\circ}P^{\wedge}$ axiom, then q is uniformizable.

Proof. By proposition 5.1, $T_j \Rightarrow S_j$.

Thus, for example, the real numbers with their usual (metric) topology may be uniformized as a uniform limit space. Letting q denote the convergence given by this topology, we may describe $T^j q$ as follows. For each point $p \in A$ let S_p be the set of all squares in the plane with center p . Letting $\mathcal{S}_p \ll \{M \cup A : M \in S_p\}^+$, we see that the dual filter generated by $\{*_p : p \in A\}$ is $T_j q$, since $*_{p^*} \wedge *_p \in \mathcal{S}_p \vee \mathcal{S}_q$ by Lemma 2.3.

Corollary 6.13 was first proven by Cochran [3] as a corollary to a sufficient condition for the uniformizability of a limit space.

We conclude with some remarks concerning Kowalsky's work [9]. Kowalsky introduced an initial set C^{\wedge} of filters on E with $\underline{DE} \subset C_k$. A filter $\underline{F} \in C_k$ is called a fundamental filter iff for each \underline{E} and \underline{H} in C_k^* , if $\underline{F} \mathcal{S} \underline{G} \wedge \underline{A} \Rightarrow$ then $\underline{E} \vee \underline{H} \in C_k$. He then required that for each $\underline{F} \in C_k$ there must exist a fundamental filter $\underline{J} \in C^{\wedge}$ such that $\underline{F} \mathcal{S} \underline{H}^*$, and that all \underline{x} be fundamental, for $x \in E$. With these definitions it follows that the fundamental filters of C_k form a Cauchy structure C_f in our sense and that $C^{\wedge} \gg (C^{\wedge})^{\wedge}$. In fact, C_f^- is the coarsest Cauchy structure finer than C_{fc} . Furthermore, if C is a Cauchy structure and we let $C_k \bullet C^{\wedge}$, then every filter $\underline{I} \in C$ is a fundamental filter of C_k , so that $C \mathcal{S} (C^{\wedge})_f$. We might also remark that if $f : (E_1, C_1) \rightarrow (E_2, C_2)$ is a continuous function, then $f(C^{\wedge}) \subset C_2^{\wedge}$, but it is not necessarily true that $f((C_1^{\wedge})_f) \subset (C_2^{\wedge})_f$. Insofar as Cauchy filters are concerned, the theory of uniform limit structures gives rise to Cauchy structures C in which $C = C^{\wedge}$, and thus one cannot distinguish between Cauchy filters and fundamental filters. That such is not always the case has been remarked by Kowalsky [9, p. 322].

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